

# *Article* **Evaluation of Nanoparticles Covalently Bound with BODIPY for Their Photodynamic Therapy Applicability**

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**Abstract:** Photodynamic therapy (PDT) relies on the combined action of a photosensitizer (PS), light at an appropriate wavelength, and oxygen, to produce reactive oxygen species (ROS) that lead to cell death. However, this therapeutic modality presents some limitations, such as the poor water solubility of PSs and their limited selectivity. To overcome these problems, research has exploited nanoparticles (NPs). This project aimed to synthesize a PS, belonging to the BODIPY family, covalently link it to two NPs that differ in their lipophilic character, and then evaluate their photodynamic activity on SKOV3 and MCF7 tumor cell lines. Physicochemical analyses demonstrated that both NPs are suitable for PDT, as they are resistant to photobleaching and have good singlet oxygen ( $^1O_2$ ) production. In vitro biological analyses showed that BODIPY has greater photodynamic activity in the free form than its NP-bounded counterpart, probably due to greater cellular uptake. To evaluate the main mechanisms involved in PDT-induced cell death, flow cytometric analyses were performed and showed that free BODIPY mainly induced necrosis, while once bound to NP, it seemed to prefer apoptosis. A scratch wound healing test indicated that all compounds partially inhibited cellular migration of SKOV3 cells.

**Keywords:** BODIPY; PDT; nanoparticles; singlet oxygen; death mechanism; cellular uptake

## **1. Introduction**

A highly selective and limited invasive treatment, which can be an alternative as well as an adjuvant to traditional oncological therapies, is PDT, which can be implemented both in the oncology field and other therapeutic fields (dermatological example) [1].

The mechanism of PDT involves the topical or systemic administration of a PS, which will accumulate in the tumor tissue, and will then be activated with a specific wavelength [2]. The activation of PS and its reaction with tissue oxygen will cause these three components, individually safe, to exhibit photocytotoxicity, generating ROS. This means that there is a selective destruction of only the tumor tissue.

Due to the insufficient light flux and the risk of damage to superficial tissues, its use against deep tumors is still limited today [3].

The photochemical reactions bring to triplet excited state formation with ROS production, which at the cellular level, can generate irreversible damage and cell death as the main consequence.

PDT-induced necrosis, apoptosis, and autophagy depend on PS's properties and concentrations, light dose, and tissue oxygen. Tissue specificity is possible due to a preferential accumulation of PS in neoplastic tissues rather than in healthy ones.



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Among the advantages of PDT, are the ability to not induce drug resistance, reduced invasiveness, the selective distribution of the PS, which allows for the treatment to be repeated without incurring side effects, and the possibility of directing the light thanks to the use of endoscopes.

Clinical research on PDT combined with chemotherapy, radiotherapy, and immunotherapy has shown increased therapeutic performance compared to single treatments [3].

To identify the ideal PS, various generations of PS have occurred over the years. The first-generation PSs included natural-origin molecules belonging to the porphyrin family (for example, Photofrin® Concordia Laboratories Inc., Senningerberg, Luxembourg), which presented some disadvantages. The second generation of PSs was thus developed, made up of synthetic molecules belonging mainly to the porphyrin and phthalocyanine family and, more recently, to BODIPY.

BODIPYs, the acronym for 4,4-difluoro-4-bora-3a,4a-diaza-s-indacene, are molecules that possess interesting characteristics, including high molar extinction coefficient  $(\varepsilon)$  in the visible region, high fluorescence quantum yield  $(\Phi)$ , high stability to variations in pH, and the polarity of the solvent [4]. However, when BODIPYs are intended as PSs, the high quantum efficiency of fluorescence should be inhibited. To modify the absorption and emission characteristics, appropriate substituents can be introduced on the carbon atoms of the pyrrole ring. BODIPYs also present a high resistance to the well-known phenomenon of photobleaching, and are generally lipophilic molecules [5]; the degree of lipophilicity can, however, be modified by introducing substituents in the meso position of the molecules [6]. Currently, BODIPYs are mainly used as chemosensors, fluorescent switches, and biological probes [7–9].

The conjugation of PSs with inorganic and organic polymers, NPs, liposomes, peptides and carbohydrates [10–13] led to third-generation PSs [14], with improved selectivity, specificity, and better intracellular accumulation in tumor tissue [15].

One of the advantages of using NPs is related to the unique microenvironment characteristics of tumor tissue. Rapid tumor cell growth results in unusual cell sizes and creates an acidic environment different from that of normal tissues [16], characterized by the presence of abnormal vascularization with large fenestrations and poor lymphatic drainage [17]. These peculiarities are the basis of the so-called Enhanced Permeability and Retention effect (EPR), which favors the accumulation of macromolecules, of appropriate dimensions, in the vascularized tissue of the tumor [18,19].

In this manuscript, we reported the unprecedented covalent functionalization of NPs bearing hydrophilic and lipophilic polymerizable pendants with a suitably modified PS belonging to the BODIPY family. In particular, to obtain the NPs tested in this study, BODIPY-bearing syringaldehyde in the meso position was synthesized. The lipophilic component was benzyl alcohol derivative, while the hydrophilic one was made up of glycerol derivative. The in vitro phototoxic effect was evaluated on human breast cancer (MCF7) and ovarian cancer (SKOV3) cell lines. To investigate the photodynamic effects of these new NPs, cellular uptake, the induction of ROS production, and cell death mechanisms (apoptosis, necrosis and autophagy) were also evaluated, along with their ability to inhibit cell migration.

#### **2. Results and Discussion**

#### *2.1. Chemical Analyses*

The procedure to obtain BODIPY has been reported by Akkaya e Liu [20,21]. The reaction involved the condensation of two units of 2,4-dimethylpyrrole with 4-hydroxy-3,5-dimethoxybenzaldehyde which provides the dipyrrom carbon that acts as a bridge between the two rings to give dipyrromethene. This condensation was conducted in dichloromethane (DCM) under a  $N_2$  atmosphere using trifluoroacetic acid (TFA) as an acid catalyst. Subsequently, dipirromethane was oxidized by adding a slight excess of 2,3-Dichloro-5,6-dicyano-1,4-benzoquinone (DDQ), with the consequent formation of dipyrrolylmethene.  $BF_3$  Oet<sub>2</sub> was added to the solution, in the presence of  $Et_3N$ , which

allows for the formation of the desired PS (**BOD**) (Figure 1). The intense fluorescent, yellow-colored **BOD** was recovered through a chromatographic column, with a yield<br>of 13.5%. for the column  $\epsilon$  and  $\epsilon$   $\geq$   $\epsilon$   $\geq$   $\epsilon$ .



Figure 1. Synthesis scheme for the preparation of BOD and its iodinated derivative BOD-I<sub>2</sub>.

fluorescence and improve the intersystem crossing (ISC) to achieve an increased production of <sup>1</sup>O<sub>2</sub> [22]. An iodization reaction was performed according to a modification developed in each modulary of the Nagano method [o]. This reaction involved the dise of  $I_2$  that  $I_1H_3$  is not the total of  $I_2$  and  $I_3$  is not the subscriptive of  $I_4$  is not the subscriptive of  $I_5$  is not the subscripti treatment with thiosulfate to remove the excess iodine, was purified by chromatographic A heavy atom introduction, such as iodine, in the β-position, is necessary to quench the in our laboratory of the Nagano method [6]. This reaction involved the use of  $I_2$  and  $HIO_3$ column (yield 54.8%) (Figure 1).

column (yield 54.8%) (Figure 1).<br>**BOD-I**<sub>2</sub> and **BOD** monomers were synthesized following a procedure reported previously  $[23]$ ; in a dried Schlenk tube  $BOD$ - $I_2$  or  $BOD$ , triethylamine and anhydrous DCM were stirred for 10 min and cooled by an ice bath. A slow acryloyl chloride addition was performed, and the reaction was stirred Ory at Kr. The solvent was removed, and philips<br>powders of **BOD-I**<sub>2</sub> and **BOD** monomers were obtained. performed, and the reaction was stirred ON at RT. The solvent was removed, and pinky

PDT polymer NPs were obtained via the reversible addition-fragmentation chain transfermediated polymerization-induced self-assembly (RAFT-PISA) technique [24]. The hydrophilic<br>
and the man political self-assembly (RAFT-PISA) technique [24]. The hydrophilic  $(P(PDHPMA-co-BOD-I<sub>2</sub>))$  containing PS moieties has been prepared before blocking off methacle polymethalism matter sen assembly (Fig. 1 Forty definition of properties macro-chain transfer agent (macroCTA) poly(2,3-dihydroxylpropylmethacrylate-co-**BOD-I**<sub>2</sub>) a second hydrophobic benzyl methacrylate (BzMA) segment with/without **BOD**, which creates core-shell structured NPs (Figure 2).



**Figure 2.** Synthesis scheme for the preparation of NPs and structure of **14B** and **15**. **Figure 2.** Synthesis scheme for the preparation of NPs and structure of **14B** and **15**.

These resulting PDT NPs are well-dispersed in aqueous conditions stabilized by the hydrophilic block (PDHPMA). Thw water compatibility and stability of the PS **BOD-I**<sub>2</sub> have been significantly enhanced by incorporating molecular **BOD-I**<sub>2</sub> into the PDHPMA block. Changes in pH within the range of 4–10 do not determine morphological or stability<br>alterations of the NPs tested as previously reported [25] alterations of the NPs tested, as previously reported [25].

The hydrodynamic diameter of PDT N''s (c'ntered at 164 nm for 15 and 342 nm for **14B**) has been characterized by Dynamic Light Scattering (DLS) (Figure 3A). A mixed morphology of spherical NPs and nanorods was observed with transmission electron microscopy (TEM) (Figure 3B).



Figure 3. (A) DLS measurement of 14B (red) and 15 (black) NPs dispersions in DIW with hydrodynamic diameters peaking at 164 nm (PDI = 0.236) and 342 nm (PDI = 0.086), respectively. (**B**) TEM<br> $\frac{1}{2}$ images of 14B (left) and 15 (right) NPs dispersion show a mixed morphology of spherical NPs<br>and rods and rods.

cu) and 19 ( $\mu$ m = 42.6 kDa) (1 gare 91). Chemical compositions of these 1 DTAs shave been<br>confirmed by <sup>1</sup>H NMR (Figures S2 and S3), and Fourier-transform infrared spectroscopy (FTIR) (Figure S4); however, specific peaks for PS moieties were not observed due to the small loading quantity of sensitizer monomers. The FTIR spectrum exhibited an aliphatic healthcape ( $CH = \text{and } CH$ ) strateh vibration from 2775 to 2120 cm<sup>-1</sup>. Eurthermore typical signals at 1727, 1262, and 1174 cm<sup>-1</sup> are ascribed to carbonyl C=O stretching, Ar-O stretching, and C−O stretching, respectively [26]. The molecular weight of PDT-NPs was examined through GPC (**14B**, Mn = 34.6 kDa, red) and **15** (Mn = 42.3 kDa) (Figure S1). Chemical compositions of these PDT NPs have been backbone ( $-\text{CH}_2$  – and  $-\text{CH}_3$ ) stretch vibration from 2775 to 3130 cm<sup>-1</sup>. Furthermore,

th the presence of oxidizing species, primarity  $\langle 0_2 \rangle$  is partially degraded [27]. Ivever-<br>theless, recent evidence suggests that this photodegradation is mainly induced by radicals (Type I reaction) [28]. The stability of iodinated BODIPY, both in free form and nanoformulated, was evaluated by irradiating a  $1 \times 10^{-5}$  M concentration in 1X phosphate-buffered  $I = 60$  and 120 min. At the same time, the possible appearance of modified absorbance profiles was also monitored, the appearance of which could be indicative of the formation of different species. The results obtained through the photobleaching test are shown In<br>Eigure 4 In the presence of oxidizing species, primarily  ${}^{1}O_{2}$ , PSs partially degraded [27]. Neversaline (PBS) with a white tungsten halogen lamp for 2 h and then measuring the absorbance Figure 4.

BODIPY in free form  $(BOD-I<sub>2</sub>)$  loses about 2/3 of its stability in the first hour of illumination and degrades almost completely at the end of the second hour  $(4\%$  stabilabsorbance after a minimal considerably when it is containing the same molecule were<br>considered; in fact, they showed high stability to photodegradation (stability > 85% after 2 h). Therefore, NPs make PS more stable, giving rise to a protective effect of the PS itself. The same protective effect was also observed by comparing the stability of the fluorescent<br>through the photobleading from an algorithmic  $\sum_{n=1}^{\infty}$  The stability of the models are shown In Figure 4. ity). The situation changed considerably when NPs containing the same molecule were compound **BOD** both in free form and bound to the NP (Figure S5). In addition, the results

obtained did not evidence absorbance changes for the compounds analyzed, indicating the absence of the formation of other species during the photobleaching process.

absorber 60 and 120 min. At the same time, the same time, the possible appearance of modioed appearance of modioed  $\mu$ 



**Figure 4.** Residual stability of 10 µM solution of PSs in PBS 1X after 500 W tungsten halogen lamp irradiation for 2 h and subjected to spectrophotometric analysis (**BOD-I2**: full bar; **14B**: dotted bar; **15** (**BOD-I2**): striped bar).

To evaluate the  ${}^{1}O_2$  production rates, the indirect method based on 1,3-diphenylisobenzofuran (DPBF) degradation was used. This method involved the generation of  ${}^{1}O_{2}$  from each PS by 10 min irradiation with green LED and the evaluation of DPBF degradation by the produced  ${}^{1}O_{2}$ . Degradation kinetics were then normalized with respect to Rose Bengal, a well-known producer of  ${}^{1}O_{2}$  [29].

Our results show that free **BOD-I<sub>2</sub>** has a relative rate of  ${}^{1}O_{2}$  production equal to 0.35, while an increase in this value was observed when **BOD-I2** was linked to NPs (0.70 for **14B** and 0.59 for **15**, respectively). The explanation could lie both in the BODIPY structure itself and the hydroxyl group in the phenyl ring para position. In NP derivatives, this group is used to form ester bonds with carboxyl residues of the NPs [30], while in **BOD-I2**, it is free and could exert an antioxidant effect, thus leading to lower relative rates of  ${}^{1}O_{2}$ , compared to the NP derivatives [31].

Data indicate that NPs do not have any shielding effect on BODIPY in  ${}^{1}O_{2}$  production; in addition,  ${}^{1}O_2$  does not directly degrade the NPs themselves.

## *2.2. Biological Analyses*

Biological studies were performed on the human ovarian carcinoma SKOV3 and breast adenocarcinoma MCF7 cell lines. To verify the selectivity of the studied compounds towards tumor cells, compared to non-tumorigenic cells, their photodynamic activity was also evaluated on the fibroblast cell line MRC-5. The MTT assay ([3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide]) was used to evaluate the effects on cell viability. This test involved treatment for 24 h with the three compounds, irradiation for 2 h (fluence: 158 J/cm<sup>2</sup>), and 24 h of incubation in drug-free medium. The IC<sub>50</sub> values were obtained from the dose–response curves.

For all compounds, including unloaded NP, intrinsic cytotoxicity, evaluated by omitting the irradiation step and using concentrations of the compounds ten times higher than those used for tests in which irradiation was performed, was negligible in all cases (Figure S6).

Figures 5 and S7 show that **BOD-I<sup>2</sup>** has a greater photodynamic activity compared to the equivalent NP-bound derivatives, with the  $IC_{50}$  value being 4–6 times lower in the former. A possible explanation for this result could be related to what has been reported by other authors, who have observed that part of the oxidative action of the  ${}^{1}O_{2}$  in NPs could be used to degrade the polymers themselves [32], thus influencing the potency of NP formulations; however, the lowest  ${}^{1}O_{2}$  levels produced by the free BODIPY in the present study appear to disprove this hypothesis. Specifically analyzing the activity of the NP formulations, **14B** exerted similar photodynamic effects in both cell lines, while **15** was significantly more active in MCF7 cells. The lower potency of the NP-bounded

derivatives should not be considered limiting; in fact, the excellent water solubility and the high photobleaching resistance might make these nanosystems suitable for in vivo applications where the EPR effect also becomes extremely important [33]. The possibility of preferential accumulation in tumor tissues associated with the possibility of decorating the NPs to implement specific targeting systems should favor the use of these NPs for clinical applications compared to free BODIPY [34].



**Figure 5.** IC<sub>50</sub> values (MCF7: dark blue; **SKOV3**: pale blue) obtained following 24 h treatment with increasing concentrations of the studied compounds, 2 h irradiation under visible light of a 500 W increasing concentrations of the studied compounds, 2 h irradiation under visible light of a 500 W halogen lamp, 24 h incubation in drug-free medium and MTT assay. Mean  $\pm$  SD of 5 independent experiments. Statistics were performed using two-way ANOVA followed by Duncan's post hoc test. experiments. Statistics were performed using two-way ANOVA followed by Duncan's post hoc test.  $\frac{1}{2}$  ### *p* < 0.001 vs. **BOD-I**<sub>2</sub>; \$\$\$ *p* < 0.001 vs. **14B**; £££ *p* < 0.001.

Interestingly, no photodynamic activity was observed on the fibroblast cell line MRC-5 treated in the same conditions used for the tumor cell lines (Figure S8), indicating a selectivity of the tested compounds for tumor cells.

An extremely important characteristic related to good photodynamic effects is the ability of the PS to be internalized in cells, allowing for its subsequent oxidative effect [35,36]. Treatment of SKOV3 and MCF7cells for 24 h with an equimolar concentration (100 nM) of the fluorescent BODIPY analog (BOD) and 15, in which the NPs were loaded with both fluorescent and iodinated BODIPYs, resulted in a significant increase in cellular uptake of the two compounds. In particular, **BOD** entered both cell lines at a higher extent, compared to **15** (Figure 6). The lower uptake of **15** could be explained considering that, due to the absence of specific target groups, only passive absorption is observed; therefore, NP dimensions, which are higher than free BODIPY ones, have a negative impact on their uptake.

In addition, **BOD** is absorbed differently by the two cell lines, while **15** showed similar uptake levels in both cell lines.

linked to the type of both the PS investigated and the cell lines [42,43]. Thus, as reported by other authors for both porphyrins and boron-dipyrromethenic systems, our results indicate that the significantly higher cellular uptake of free BODIPY compared to those that are NP-bound could be the responsible for the different photodynamic activity observed [37,38]. However, MCF7 cells appear to be particularly sensitive to PDT with both formulations, compared to the SKOV3 cell line. Indeed, despite being less absorbed by MCF7 cells, free BODIPY had the same photodynamic activity in both MCF7 and SKOV3 cells. Furthermore, greater photodynamic activity was observed in the MCF7 following treatment with **15** even if a comparable uptake was detected in the two cell lines. Similar behavior for MCF7 cells has been observed by other authors, including Lamch [39] and Li [40]. Nevertheless, others evidenced opposite results [41], suggesting that the different stress responses induced by the photodynamic process could be strictly



ilar upake levels in both cell lines. In both cell lines in both cell lines in both cell lines. In both cell l

Figure 6. Cellular uptake expressed in mean fluorescence intensity (MFI) values (MCF7: dark blue; SKOV3: pale blue) obtained following 24 h treatment with 100 nM of BOD or 15. After exposure, cells were trypsinized, resuspended in PBS, and analyzed with FACSCalibur. Absorption was quantified in arbitrary units (a.u.) based on MFI, by collecting PS fluorescence through a 530 nm band-pass filter. PS treatment was omitted in the control samples. Mean  $\pm$  SD of 5 independent experiments. Statistics were performed using two-way ANOVA followed by Duncan's post hoc test. \*\*\*  $p < 0.001$ vs. **Ctrl**;  $@@@p < 0.001$  vs. **BOD**;  $E \n\mathcal{L}E p < 0.001$ . In PDT,  $\frac{1}{\sqrt{2}}$  and  $\frac{1}{\sqrt{2}}$  and light is used to stimulate the PS to stimulate

In PDT, <sup>1</sup>O<sub>2</sub> and ROS trigger tumor cell death, and light is used to stimulate the PS to induce their production [44,45]. As reported above, the free and bound **BOD-I**<sub>2</sub> in the two NP derivatives showed a good degree of  ${}^{1}O_{2}$  production. To detect intracellular production of ROS, cells were treated with equitoxic concentrations of PSs for 24 h and irradiated for 2 h prior to incubation with dichloro-dihydro-fluorescein diacetate (DCHF-DA). The presence of ROS, produced during irradiation, allows for the oxidation of dichloro-dihydro-fluorescein (DCHF), obtained by the internalized DCHF-DA cleavage, to dichlorofluorescein (DCF). The latter can be detected using a fluorescence microscope (Figure S9) [46-49]. The ROS production rates were measured with ImageJ 1.49V software (National Institute of Health).

Figure 7 shows that all formulations can induce a significant increase in ROS levels compared to control and a similar extent of increased ROS levels in both cell lines. Furthermore, the two NP formulations induced higher ROS production than the free BODIPY.



Figure 7. ROS expressed in a.u. of fluorescence (MCF7: dark blue; SKOV3: pale blue) obtained following 24 h treatment at each PS  $IC_{50}$ , 2 h of irradiation in PS-free PBS, and 30 min incubation with 10 µM of DCFH-DA. Fluorescence microscopy was used to detect the production of ROS (Ex: 488 10 µM of DCFH-DA. Fluorescence microscopy was used to detect the production of ROS (Ex: 488 nm; Em: 520 nm) and the ROS production rate was measured with ImageJ software. For control samples, treatment with PS was omitted. Mean  $\pm$  SD of 5 independent experiments. Statistics were performed performed using two-way ANOVA followed by Duncan's post hoc test. \*\* *p* < 0.01; \* *p* < 0.05 vs. **Ctrl**, using two-way ANOVA followed by Duncan's post hoc test. \*\*  $p < 0.01$ ; \*  $p < 0.05$  vs. Ctrl, ##  $p < 0.01$ ; # *p* < 0.05 vs. **BOD-I2.**

ROS and  ${}^{1}O_{2}$  are the main causes of cell death following PDT. Several authors have linked ROS production to extrinsic (death receptor-dependent) [50–52] and intrinsic (mitochondrial-dependent) [53–55] apoptosis. However, high levels of ROS have also been  $\frac{1}{25}$  correlated to necrosis  $[56–58]$ . Finally, ROS are also responsible for autophagy  $[59–61]$ . Thus, in the presence of high ROS levels, it is not possible to precisely know which type correlated to necrosis [56358]. Finally, ROS are also responsible for autophagy [59361]. of cell death mechanism is the main protagonist. It therefore becomes very important to of cell death mechanism is the main protagonist. It therefore becomes very important to specifically evaluate the various types of cell death induced by PDT with new PSs. speciocally evaluate the various types of cell death induced by PDT with new PSs. Thus, in the presence of high ROS levels, it is not possible to precisely know which type

Figure 8A shows a significant increment in the percentages of apoptotic cells induced by all compounds. However, the behavior of the studied formulations was different in the two cell lines. In MCF7 cells, **14B** induced the highest level of apoptosis (40%), while **BOD-I<sub>2</sub>** and **15** showed significantly lower percentages of apoptosis (15–20%). In SKOV3 cells, both NP derivatives induced higher percentages of apoptosis (20–25%), compared to **BOD-I<sup>2</sup>** (15%). On the other hand, all compounds induced an increase in the percentages **BOD-I2** (15%). On the other hand, all compounds induced an increase in the percentages of necrotic cells, compared to control. Among the PSs, in both cell lines, **BOD-I<sup>2</sup>** induced of necrotic cells, compared to control. Among the PSs, in both cell lines, **BOD-I2** induced the highest level of necrosis (70–85%) while the treatment with both NPs resulted in  $\frac{1}{\sqrt{2\pi}}$ significantly lower percentages of necrosis (<15%). niocantly lower percentages of necrosis (<15%).



**Figure 8.** Percentage of apoptotic (**A**) and necrotic (**B**) cells (**MCF7**: dark blue; **SKOV3**: pale blue). Cells were treated for 24 h with PS at the respective  $IC_{50}$ , and irradiated for 2 h in PBS. After 24 h, the percentage of apoptotic or necrotic cells was detected with FACSCalibur. To evaluate apoptosis, cells external and resuspended in a PBS solution containing PI (50 μg/mL) and Rnase A (30 U/mL). For  $\frac{1}{\sqrt{2}}$  for the evaluation of necessarity  $\frac{1}{\sqrt{2}}$  of  $\frac{1}{\sqrt{2}}$  of  $\frac{1}{\sqrt{2}}$  or both analyses in the control sample the evaluation of necrosis, the EtOH fixation was omitted. For both analyses in the control samples,<br> treatment with PS was omitted. The fluorescent emission of PI was collected through a 575 nm band-pass filter. Mean  $\pm$  SD of 5 independent experiments. Statistics were performed using two-way ANOVA followed by Duncan's post hoc test \*\*\*  $p < 0.001$ ; \*\*  $p < 0.01$ ; \*  $p < 0.05$  vs. Ctrl; ###  $p < 0.001$ ; # *p* < 0.05 vs. **BOD-I2**; \$\$\$ *p* < 0.001 vs. **14B**; £££ *p* < 0.001; ££ *p* < 0.01.

Overall, these data indicate that free BODIPY preferentially induced necrosis as the Overall, these data indicate that free BODIPY preferentially induced necrosis as the main cell death mechanism following PDT, while NPs induced mainly apoptosis. main cell death mechanism following PDT, while NPs induced mainly apoptosis.

In the absence of irradiation, none of the compounds triggered apoptotic or necrotic responses.

Autophagic cell death has been also indicated as a PDT-induced cell death mecha-Autophagic cell death has been also indicated as a PDT-induced cell death mechanism [62–64]. To assess the degree of autophagy induced by compounds, Western blot analysis was performed to detect the presence of LC3-II, a known marker for autophagy. analysis was performed to detect the presence of LC3-II, a known marker for autophagy.

The two lines responded differently to treatment with BOD-I2, as indicated by the The two lines responded diferently to treatment with BOD-I2, as indicated by the histograms and the representative Western blot images reported in Figure 9. While BOD-I2 histograms and the representative Western blot images reported in Figure 9. While BODinduced increased levels of LC3-II in MCF7 cells compared to the control, the level of this protein did not change in the SKOV3 cell line. Concerning the other two compounds, both cell lines responded similarly to the treatments. both cell lines responded similarly to the treatments.



Figure 9. Densitometric analyses of LC3-II levels in two cell lines tested (A) and representative immunoblot images in MCF7 (B) and SKOV3 (C) cells. Cells were treated for 24 h with PS at the respective IC<sub>50</sub> (PS treatment omitted in control) and irradiated for 2 h in PBS. After 24 h of incubation in drug-free medium, cells were lysed, and the protein concentration of each lysate was detected via in drug-free medium, cells were lysed, and the protein concentration of each lysate was detected via the BCA test. Society were procedured and the process concentration of contracted was detected via the BCA test. SDS-page and Western blot analysis were performed, and LC3-II levels were evaluated.<br>---Histograms relative to densitometric analysis, performed with ImageJ, of all the experiments and Western blot representative images are reported. Mean  $\pm$  SD of 2 independent experiments. Statistics were performed using two-way ANOVA followed by Bonferroni's post hoc test. \*\*\* *p* < 0.001 vs. Ctrl; ### *p* < 0.001 vs. **BOD-I2**; £££ *p* < 0.001; ££ *p* < 0.01.

To confirm autophagy presence, flow cytometric evaluation of the acridine orange (AO) specific staining in MCF7 and SKOV3 cells following treatment with the PSs was performed. Figure 10 shows that, similarly to what was observed in Western blot analysis, only following **BOD-I**<sub>2</sub> treatment, a significant increase in AO fluorescence was observed in both cell lines, indicating the induction of autophagic cell death.

**A**



Figure 10. AO fluorescence expressed as MFI in MCF7 (A) and SKOV3 (B) cells (Ctrl: black line; BOD-I<sub>2</sub>: green line; 14B: purple line; 15: light blue line) treated for 24 h with equitoxic concentrations of PSs corresponding to the respective  $IC_{50}$  values, 2 h irradiation, 24 h incubation in drug-free medium and 15<sup>'</sup> incubation at 37 °C in the presence of AO (1  $\mu$ g/mL).

It is well known that along with cellular uptake, subcellular localization of a PS can account for its phototoxic effects [65].

Figure 11 shows images related to the lysosomal colocalization of unbound BOD and **15** after 24 h exposure with the LysoView<sup>®</sup> (Biotium Inc., Freemont, CA, USA). Pearson's correlation coefficient (PCC) is used to measure the correlation between two sets of data [66]. In this experiment, PCC was used to measure the colocalization of our PSs, and the results<br>view the following: **POD** in MCE7.0.61 in *SVOV2.0.60:15* in MCE7.0.76, in *SVOV2.0.74* results were the following: **BOD** in MCF7 0.61, in SKOV3 0.69; **15** in MCF7 0.76, in SKOV3 were the following: **BOD** in MCF7 0.61, in SKOV3 0.69; **15** in MCF7 0.76, in SKOV3 0.74. Lysosomal localization is higher when BODIPY is bound to the NP. Furthermore, a diffuse plasmatic signal that cannot be attributed to distinct organelles was observed.



**Figure 11.** *Cont*.



Figure 11. Intracellular BOD or 15 colocalization and PCC values. Cells were grown on coverslips for 48 h, treated with 25  $\mu$ M of each PS (green fluorescence) and 2X of LysoView<sup>®</sup> (red fluorescence). After 24 h, cells were fixed in 3% paraformaldehyde in  $H_2O$  (pH = 7.4). Images were acquired using ZEISS LSM 900 with Airyscan 2 (Magnification  $63\times$ ).

The high **BOD** localization at lysosomal level in the two tumor cell lines could explain more difficult to explain the correlation between a high lysosomal localization of **15** and its it is more diocult to explain the correlation between a high lysosomal localization of **15** limited percentage of necrosis at IC<sup>50</sup> concentration. the preferential necrotic cell death mechanism due to PDT treatment [67]. However, it is

A possible problem with the experimental setting we have used for this last evaluation is related to the fact that to obtain a good resolution of the fluorescence images, it was necessary to treat the cells with high concentrations of the PSs. At these concentrations, PSs could accumulate in both primary and low affinity sites [68]; consequently, it may be  $W^c$ . difficult to identify the priority site of cellular localization.

mined to definity the priority site of echalal localization.<br>Metastasis refers to the process by which one or more cancer cells detach from the primary tumor, enter the bloodstream, and invade new organs (micro-metastases) where they will proliferate uncontrollably into macro-metastases [69,70]. Among the two cell lines, only the ovarian cancer cell SKOV3 possesses intrinsic migratory ability associated with the capability to cause metastasis  $[69,71–73]$ . It is reported that a fair number of PSs can block the migratory activity of cancer cells [74–76]. Cell migration is related to changes<br>in the migratory activity of cancer cells [74–76]. Cell migration is related to changes extraction and the components. The Time conductive stress causes emanges in cytoskeletal components and, therefore, may affect the ability of cells to migrate and their can block the migration rate [77]. in the cytoskeleton and its components. PDT-linked oxidative stress causes changes in

The ability of the studied PSs to inhibit cell migration and the migration rate in response to PDT was evaluated by the scratch wound healing assay, in which a scratch was  $p<sub>6</sub>$ performed on a confluent monolayer, and the percentage of scratch closure as well as the migration rate were evaluated [78].

migration rate were evaluated pop.<br>When SKOV3 cells were treated but not subjected to the irradiation step (not subjected to PDT), no effects on their migratory ability or their migration rate, compared to control cells, were observed (Figure S10). Instead, following treatment and PDT, all the compounds inhibited cell migration and caused a half-reduction of the migration rate (Figure 12).



Figure 12. Migratory activity of SKOV3 cells following treatment with BOD-I<sub>2</sub>, 14B, 15 and PDT. Cells were grown for 48 h before they were treated with the PSs at IC<sub>25</sub> concentrations, scratched with a pipete tip, irradiated for 2 h, and placed in drug-free medium for 24 h. Pictures of the scratch with a pipette tip, irradiated for 2 h, and placed in drug-free medium for 24 h. Pictures of the scratch wound were taken immediately following the wound making and after 24 h, through a camera connected to an Olympus IX81 microscope (Magnification  $4\times$ ).

#### **3. Materials and Methods**

## **3. Materials and Methods** *3.1. Chemistry*

## 3.1.1. Chemicals and Experimental Instruments

<sup>1</sup>H NMR, UV-Vis absorption, elemental analysis, HPLC and MS analysis were per-<br>formed as anarisus were performed as previously reported [79].

4-Hydroxy-3,5-dimethoxybenzaldehyde (M.W.: 182.17 g/mol), 2,4-dimethylpyrrole (M.W.: 95.14 g/mol, d: 0.92 g/mL), 4,4′-azobis(4-cyanovaleric acid) (ACVA, M.W.: 280.28 g/mol), 2,2′-azobis[2-(2-imidazolin-2-yl)propane]dihydrochloride (AIPD, M.W.: 323.27 g/mol),  $\frac{1}{22M1}$  (M.W.: 178.14 g/mol) at 1.61 g/mb), 1 (((1) carboxycally y, allocality y, the set of the cyanopentanoic acid (CCCP, M.W.: 307.41 g/mol), glycidyl methacrylate (GMA, M.W.: 142.15 g/mol, d: 1.04 g/mL), and all solvents used in the synthesis, as well as those used for the analyzes, were commercial products used as received by Merck KgaA (Darmstadt, Germany, EU). DCM, used in the synthesis of BODIPYs, was freshly distilled directly into the reaction flask. The petroleum ether (ETP) employed as eluent for column chromatogra-<br>phy consisted of a 40–60 fraction BzMA (M.W.: 176.21 g/mol, d: 1.04 g/mL), 4-((((2-carboxyethyl)thio)carbonothioyl)thio)phy consisted of a 40–60 fraction.

 $\frac{1}{1}$   $\frac{1}{2}$  For all BODIPYs, 1 mM stock solutions in dimethyl sulfoxide (DMSO) were prepared. Water-soluble NPs 14B and 15 had an iodinated compound concentration of 0.52 mM and  $0.50$  mM, respectively.

For the chemical analyses that required the use of light sources, a green LED light source or a 500 W tungsten white halogen lamp was used. The green LED light source consists of 12 LEDs, each of 1 W, for a total of 12 W. The control unit was connected to the lamp via an RJ45 connection and was powered by a constant current of 350 mA. The source had an irradiance equal to 3.036 mW/cm<sup>2</sup>, equal to 1.82 J/cm<sup>2</sup> of fluence for 10 min of illumination.

The irradiation device with a tungsten lamp was placed above the area to be irradiated at a distance such as to produce a homogeneous irradiation area; the lamp had an irradiance of 22 mW/cm<sup>2</sup>, equal to 158 J/cm<sup>2</sup> of fluence for 2 h of irradiation [79].

## 3.1.2. Synthesis of BODIPYs

*4,4*′ *-difluoro-1,3,5,7-tetramethyl-8-(4-hydroxy-3,5-dimethoxyphenyl)-4-bora-3a,4a-diaza-sindacene* (**BOD**)

Then, 3.82 mmol of 4-hydroxy-3,5-dimethoxybenzaldehyde (696 mg) and 8.14 mmol of 2,4-dimethylpyrrole (840  $\mu$ L) were reacted as previously described [11]. The raw material was purified by column chromatography  $(SiO<sub>2</sub>, DCM: ETP, 7:3)$ , affording 206 mg (0.515 mmol, yield: 13.5%) of the desired compound (**BOD**) as orange needles. Chemical formula:  $C_{21}H_{23}BF_2N_2O_3$ ,  $M_w = 400.23$  g/mol. UV–Vis: (DCM) 502 nm ( $\varepsilon = 163,000$ ); (H<sub>2</sub>O) 498 nm ( $\varepsilon$  = 69,900).  $\Phi_{\text{fl}}$ : (DCM) 517 nm= 0.042. <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ: 1.55 (s, 6H); 2.58 (s, 6H); 3.89 (s, 6H); 6.02 (s, 2 h); 6.55 (s, 2 h). MS (ESI): M+ found: 401.18. HPLC retention time: 6′25′′ (98%). Anal. Calc.: C, 63.02%; H, 5.79%; N, 7.00% Found: C, 63.58%; H, 5.85%; N, 7.07.

*4,4*′ *-difluoro-2,6-diiodo-1,3,5,7-tetramethyl-8-(4-hydroxy-3,5-dimethoxyphenyl)-4-bora-3a,4adiaza-s-indacene* (**BOD-I2**)

Then, 0.475 mmol of **BOD** (190 mg) was dissolved in 50 mL of EtOH in the presence of 2.38 mmol di I<sub>2</sub> (602 mg; M.W.: 254 g/mol) e 2.38 di HIO<sub>3</sub> (418 mg; M.W.: 176 g/mol) and reacted as previously reported [11]. The crude product was purified by column chromatography (SiO2, DCM: ETP, 7:3), affording 106 mg of **BOD-I<sup>2</sup>** (0.37 mmol; yield: 54.80%) as pink needles. Chemical formula:  $C_{21}H_{21}BF_{2}I_2N_2O_3$ ,  $M_w = 652.03$  g/mol. UV–Vis: (DCM) 534 nm (ε = 49,900); (H<sub>2</sub>O) 542 nm (ε = 23,600). <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ: 1.62 (s, 6H); 2.65 (s, 6H); 3.91 (s, 6H); 6.51 (s, 2 h). MS (ESI): M+ found: 652.97. HPLC retention time: 7′38′′ (97%). Anal. Calc.: C, 63.68%; H, 3.25%; N, 4.30% Found: C, 64.02%; H, 3.28%; N, 4.33.

## 3.1.3. Oxidation of GMA

GMA monomers (4.0 g) in 16.0 g DI H<sub>2</sub>O (20 wt% of GMA) were charged into a 40 mL glass vial under oxygen atmosphere. The reaction solution was heated up to 70  $^{\circ}$ C for 24 h. The oxidized GMA monomers were used for macro-CTA preparation without further purification.

## 3.1.4. Preparation of PGMA-PS Macro-CTA

CCCP RAFT agent (13.8 mg, 0.045 mmol), GMA (719.9 mg, 45 mmol) in DI  $H_2O$  $(2.88 \text{ g})$ , AIPD  $(2.9 \text{ mg}, 0.009 \text{ mmol})$ ; CCCP/AIPD molar ratio = 5.0), and PS  $(0.045 \text{ mmol})$ were charged into a 40 mL glass vial and mixed with tetrahydrofuran (THF) (3.6 g) to obtain 10 wt% solid content in THF/H<sub>2</sub>O mixture. The mixture was degassed with Ar before heated up to 50 °C for 1.5 h. The crude macro-CTA was dialyzed against THF/H<sub>2</sub>O for 3 days.

## 3.1.5. NP Synthesis

Synthesis of *LIR-10-14B* (**14B**)

PGMA- **BOD-I<sup>2</sup>** (138.9 mg, 0.019 mmol), BzMA (16.9 mg, 0.96 mmol), ACVA (1.1 mg, 0.0039 mmol) and ethanol/  $H<sub>2</sub>O$  (50/50, 3 mL, 10 wt% solid content) were charged in a 20 mL glass vial and degassed with Ar for 10 min, before being placed into a heating block at 70 °C for 3 h. The obtained copolymer was analyzed by DMF GPC (Mn =  $34.6$  kDa, PMMA standards). <sup>1</sup>H NMR analyzed in DMSO-d<sub>6</sub> indicated less than 5% residual BzMA

monomer. The resulting particles were purified via dialysis against DIW/Acetone for 3 days and DIW for 2 days. UV-Vis:  $(H_2O)$  542 nm ( $\varepsilon = 60,000$ ).

Synthesis of *LIR-10-15* (**15**)

PGMA-**BOD-I2** (142 mg, 0.019 mmol), BzMA (173 mg, 0.98 mmol), PGMA-**BOD** (9 mg, 0.02 mmol), ACVA (1.10 mg, 0.0039 mmol) and ethanol/ H2O (50/50, 2.8 mL, 10 wt% solid content) were charged in a 20 mL glass vial and degassed with Ar for 10 min, before being placed into a heating block at 70 ℃ for 3 h. The resulting copolymer was analyzed by DMF GPC (Mn = 42.3 kDa, PMMA standards). <sup>1</sup>H NMR analyzed in DMSO- $d_6$  indicated less than 5% residual BzMA monomer. The resulting particles were purified via dialysis against DIW/Acetone for 3 days and DIW for 2 days. UV-Vis:  $(H<sub>2</sub>O)$  507 nm ( $\varepsilon$  = 134,000), 541 nm  $(\varepsilon = 30,000)$ .  $\Phi_{\text{fl}}$ : (H<sub>2</sub>O) 524 nm = 0.092.

## 3.1.6. Photobleaching and  ${}^{1}O_{2}$  Generation

A 10 µM solution in 1X PBS was prepared for each sample. The solutions thus obtained were illuminated with a 500W tungsten halogen lamp for 2 h. At set times, samples were taken and subjected to spectrophotometric analysis. The percentage of photodegradation was calculated by the following Equation (1):

Residual stability (
$$
\degree_0
$$
) =  $\left(1 - \frac{\text{Abs}_t}{\text{Abs}_0}\right) \times 100$  (1)

The amount of  ${}^{1}O_{2}$  produced by the compounds was detected as previously reported [6].

## *3.2. Biology: Cell Cultures and Protocols*

The breast cancer MCF7 and the ovarian cancer SKOV3 cell lines were maintained as reported [79]. For all experiments, unless indicated, 2 h irradiation with a 500 W white tungsten halogen lamp was used.

The effects of the PSs on cell viability were evaluated by the MTT assay as previously reported [79]. Cells were treated with different concentrations of **BOD-I2** (1 to 100 nM), **14B** (1 to 2000 nM), and **15** (1 to 1000 nM). The effects of PSs were also evaluated on a fibroblast cell line (MRC-5 grown in DMEM-F12) using concentrations of PSs from 1 to 1000 nM. The Infinite® 200 PRO (Tecan, Bio-Rad Inc., Hercules, CA, USA) with a 590 nm filter was used to read the cell survival data. The data obtained were analyzed by non-linear regression using GraphPad PRISM 9.2.0 software (GraphPad Software Inc., San Diego, CA, USA); IC<sub>50</sub> values were obtained.

To evaluate PS uptake, cells were exposed for 24 h to 100 nM of **BOD** or **15** and then worked up as reported [79]. All samples were analyzed with FACSCalibur, and data were analyzed with CellQuest PRO software(V6.0, BD Bioscences). Absorption was quantified in MFI, by collecting PS fluorescence through a 530 nm band-pass filter.

The fluorogenic probe DCHF-DA (InVitrogen Molecular Probes, ThermoFischer Scientific, Waltham, MA, USA) was used to evaluate intracellular generation of ROS using a reported method [80]. Olympus IX81 fluorescence microscopy, camera connected, was used to detect the production of ROS (Ex: 488 nm; Em: 520 nm). The ROS production rate, expressed as a.u. of fluorescence, was measured with ImageJ software [81].

The ability of the compounds to induce death through apoptosis or necrosis was evaluated with a flow cytometric analysis [79]. The induction of autophagy was evaluated by Western blot analysis to detect the autophagosomal marker LC3-II following the standard procedure used in our laboratory [79] and by evaluating acridine orange fluorescence through flow cytometric analysis, following PDT and incubation with  $1 \mu g/mL$  AO.

Lysosomal localization was evaluated by fluorescence microscopy following coincubation with 25  $\mu$ M of each PS and 2× of LysoView<sup>®</sup> as reported [82]. Images were acquired using a ZEISS LSM 900 with an Airyscan 2 confocal microscope. Colocalization was quantified with PCC using the JaCOP plugin [83], and absolute PCC values used were those reported in literature [66].

Scratch wound healing assay was performed as reported [79].

#### *3.3. Statistical Analyses*

The statistical analyses of the data obtained from the various experiments (at least 3 independent tests) were performed by two-way ANOVA followed by Duncan's post hoc test. The ANOVA test was performed considering data with a *p*-value less than 0.05 as significant. Data normality was tested by the Shapiro–Wilk test [84].

## **4. Conclusions**

We have reported the synthesis of a nanosystem in which a new BODIPY, once activated, has been bound to novel amphiphilic NPs, thus obtaining water-soluble PSs. Considering that the solubilization of the free BODIPY in biological media is achievable only in the presence of organic solvents, this new nanosystem could be used for in vivo applications. Furthermore, the NP-bounded derivatives showed interesting chemical–physical features that could make them good PSs, such as significantly higher photostability than the free BODIPY, with no intrinsic toxicity, and effective anti-tumor photodynamic activity on two solid tumor cell lines at nanomolar concentrations. However, their potency was significantly lower compared to that of the free BODIPY, probably attributable to its lower cellular penetration. In addition, although the NP-bounded and free BODIPY shared the same lysosomal localization, the preferential mechanisms of cell death following PDT, among the ones investigated in the present work, are different. Finally, another interesting finding is that both BODIPY formulations significantly impaired cell migration of the SKOV3 cell line following PDT.

Despite the better photodynamic effects of the free form of BODIPY observed in these 2D models, the use of the new nanosystem in 3D models and in vivo in animal models should take advantage of its better solubility in aqueous solvents, meaning better in vivo bioavailability, and of the EPR effect, probably showing increased potency.

Taken together, our results indicate an interesting potential of these NP-bounded BOD-IPYs, which are worthy of further in-depth studies for their possible in vivo application.

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