

# Impact of glomerular filtration estimate on bleeding risk in very old patients treated with vitamin K antagonists

Results of EPICA study on the behalf of FCSA (Italian Federation of Anticoagulation Clinics)

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## Summary

Vitamin K antagonists (VKA) therapy is increasingly used in elderly for prevention of venous thromboembolism (VTE) and of stroke in atrial fibrillation (AF). Glomerular filtration rate (GFR), usually estimated from different equations, decreases progressively with age and it is a risk factor for bleeding. In the frame of the EPICA study, a multicentre prospective observational study including 4,093 patients  $\geq 80$  years naïve to VKA treated for AF or after VTE, we performed this ancillary study to evaluate the prevalence of chronic kidney diseases (CKD) by estimated GFR (eGFR). Incidence of bleedings was recorded and bleeding risk was evaluated in relation to eGFR calculated by Cockcroft-Gault (C-G); Modification of Diet in Renal Disease (MDRD) and Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) formulas. In addition, the agreement among the three eGFR formulas was evaluated. We recorded 179

major bleedings (rate 1.87 x100 patient-years [py]), 26 fatal (rate 0.27 x100 py). Moderate CKD was detected in 69.3%, 59.3% and 47.0% and severe CKD in 5.8%, 7.4% and 10.0% of cases by C-G, MDRD and CKD-EPI, respectively. Bleeding risk was higher in patients with severe CKD irrespective of the applied equation. This study confirms that CKD represents an independent risk factor for bleeding and that a wide proportion of elderly on VKA had severe or moderate CKD, suggesting the need for frequent monitoring. Although the different available equations yield different eGFR, all appear to similarly predict the risk of major bleeding.

## Keywords

Bleeding, elderly, glomerular filtration rate, VKA treatment

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## Introduction

Oral anticoagulant therapy with vitamin K antagonists (VKA) is increasingly used for the prevention and treatment of vascular diseases. Its effectiveness has been clearly established for the secondary prevention of venous thromboembolism (VTE), and for the prevention of systemic embolism in patients with atrial fibrillation (AF). Because the incidence of both VTE and AF increases with age, there are a considerable number of elderly patients having a clear indication for VKA treatment. In particular, data indicating that aspirin treatment is not protective of stroke in AF patients and results in an appreciable risk of bleeding (1, 2), has led to an increase of AF patients being treated with VKA, even if they are very old. It is well known that glomerular filtration rate (GFR) decreases progressively with age (3), and an increasing proportion of the general population has chronic kidney disease (CKD) which has been recognised as a 'silent epidemic' (4). In 2008, Rosamond

et al. (5) reported an estimated prevalence of CKD of 16.8% in the general US population, including stages I to IV. However, this prevalence rose to 39.4% when only subjects aged older than 60 years were considered. The prescription of VKA, especially in the elderly, is a major concern for clinicians due the fear of bleeding. Many risk factors for bleeding and a number of potential contraindications for the use of VKA are common in elderly patients, in particular renal failure is considered as a risk factor for bleeding and is included in several models of stratification of bleeding risk (6–8). The use of bleeding risk estimation models is suggested by clinical practice guidelines (9, 10); however, no clear indication is reported for the definition of renal failure. GFR is the fundamental parameter of renal function and it is often estimated from different equations (11–14) that include serum creatinine levels as an important variable, and a single GFR cut-off of 60 ml/min/1.73 m<sup>2</sup> for the definition of chronic kidney disease is currently recommended (15). Severe CKD is defined when GFR is less than 30 ml/min/1.73

m<sup>2</sup>. However, the different available equations for GFR estimate (eGFR) yield different results in the elderly (16, 17) with over-estimation of GFR in some cases, and there is uncertainty on which formula should be preferred in very old patients. We have previously published the results of the EPICA Study (18), a large multicentre prospective observational study reporting the bleeding risk of very elderly patients treated with VKA. This is an ancillary study performed to evaluate the prevalence of CKD among patients enrolled in the EPICA study, and the incidence of bleeding events in relation to renal function. In addition, we estimate CKD by using three of the most commonly used eGFR formulas evaluating their agreement.

## Methods

### Centres

Twenty-seven Centers affiliated to the Italian Federation of Anticoagulation Clinics (FCSA) participated in the study. FCSA Centres are required to give patients who start treatment adequate education on treatment purpose, risk of complications, information about international normalised ratio (INR) values and treatment management. They follow-up patients by periodic INR measurements, establish the date for the subsequent visits, prescribe the daily VKA dosages, monitor and record changes in patients habits, diet, co-medications, intercurrent illnesses, and bleeding as well as thrombotic complications on the basis of chart review and patients' interview. All Centres take part in the specifically designed laboratory external quality control program, which runs three times yearly and uses lyophilised plasma samples obtained from anticoagulated patients (19).

### Patients

The present prospective observational study included 4,093 very old patients who started VKA treatment after the age of 80 years for thromboprophylaxis of AF or after VTE (first event or recurrence). All patients were maintained at intended therapeutic range of 2.0–3.0 INR. Patients' demographics, indications for VKA and clinical data were collected. Patients were classified as hypertensive if they were taking medications to lower blood pressure. Diabetes mellitus was defined according to American Diabetes Association Criteria (20). Coronary artery disease was defined on the basis of a history of myocardial infarction or stable and unstable angina. Heart failure was defined as the presence of signs and symptoms of either right or left ventricular failure or both, confirmed by non-invasive or invasive measurements demonstrating objective evidence of cardiac dysfunction. Patients who had more than two episodes of accidental fall in the last year were defined as "at high risk for fall".

Quality of anticoagulation was calculated as time in therapeutic range (TTR) using the linear interpolation method by Rosendaal et al. (21). This calculation started at the beginning of treatment.

### Follow-up and end-points

Follow-up visits were scheduled every 2–4 weeks for INR monitoring. Patients who missed check-ups for more than two months were contacted (personally or through their family or general practitioner) and the reason for interrupting treatment monitoring was recorded. In the case of death, further information about its cause was requested. Deaths of all causes were recorded.

Definition for major bleeding is the following: fatal, intracranial (documented by imaging), ocular causing blindness, articular or retroperitoneal; bleeding requiring surgery or invasive manoeuvre, or transfusion of more than two blood units or when haemoglobin was reduced by >2 g/dl. All cases of clinically relevant bleeding events which were not classified as major were considered as 'minor' (20, 22). Follow-up was stopped after the first major bleed occurred, after the cessation of oral anticoagulation, or when a patient was no longer monitored by the participating centre.

### Estimated GFR (eGFR) formulas

As an ancillary study, we collect serum creatinine levels measured by local hospital laboratories and body weight, at enrolment, when available. The inter-laboratory variability of creatinine measurement has been calculated of 7% for values around 1.5 mg/dl in Italian laboratories (unpublished data from External Quality Control Program of the Emilia Romagna Region). Creatinine clearance was calculated by the Cockcroft-Gault formula (C-G) (11); the Modification of Diet in Renal Disease (MDRD) equation (12, 13); and the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) equation (14). Renal failure was defined as a calculated creatinine clearance <30 ml/min/1.73 m<sup>2</sup>.

### Statistical analysis

The SPSS statistical software package (Statistical Package for Social Sciences, Chicago, IL, USA, software for Windows; version 11.5) was used for data processing. We used descriptive analysis expressed as median and interquartile range (IQR). Incidence rates of adverse events were calculated as the number of events per 100 patients/years (py) of observation. For this calculation observation started at the beginning of follow-up and ended when patients experienced a major outcome or were censored.

Following a test of statistical normality, analyses were performed using Fisher's exact test (categorical data), unpaired t-test (parametric data) and Mann-Whitney test (non-parametric data). A

**Table 1: Clinical characteristics of the patients.**

N	4,093
Males n (%)	1,762 (43)
Median (range) age	84 (80–102)
Follow-up period (years)	9603
Mean follow-up period (years) (SD)	2.35 (2.1)
Indication for VKA treatment (%)	
Atrial fibrillation	3015 (73.7)
Venous thrombembolism	1078 (26.3)
Mean serum creatinine mg/dl (SD)	1.07 (0.41)
Serum creatinine >1.5 mg/dl	380/3171 (12.0)
Antiplatelets drugs	353 (9.3)
N. of drugs associated (≥3)	2365 (62.0)
Time in therapeutic range (IQR)	62 (49–75)
Past medical history	
Heart failure	890 (22.9)
Hypertension	2850 (72.3)
Diabetes	663 (17.0)
Coronary artery disease/ Peripheral artery disease	835 (21.9)
Cancer	534 (13.9)
Previous stroke/TIA	699 (17.1)

Data are expressed as n(%), mean (SD) or median (IQR). SD= standard deviation ; IQR= interquartile range; TIA, transient ischaemic attack.

**Table 2: Risk factors associated to bleeding events: Univariate analysis.**

	OR	95% CI	P-value
Male sex	1.41	1.04–1.92	0.02
Previous TIA/stroke	1.33	0.92–1.90	0.10
Hypertension	1.40	0.97–2.06	0.06
History of bleeding	5.41	3.29–8.50	<0.0001
Prior gastrointestinal bleeding	5.77	3.08–9.96	<0.0001
Prior cerebral bleeding	3.29	0.67–9.79	0.08
Renal failure (serum creatinine ≥1.5 mg/dl)	1.67	1.04–2.60	0.02
TTR <60% (*)	1.25	0.91–1.70	0.14
Active cancer	2.81	1.80–4.25	<0.0001
Antiplatelet use	1.32	0.77–2.14	0.25
History of falls	2.95	1.79–4.66	<0.0001
Co-medications (≥3 drugs)	1.43	1.01–2.05	0.04

(\*) TTR=time in therapeutic range; OR, odds ratio; CI, confidence interval; TIA, transient ischaemic attack.

univariate analysis and a Cox regression analysis, were used to ascertain which factors were significantly associated with the risk of bleeding with VKA. All odds ratios (OR) are given with their 95% confidence intervals (CI) and a two-sided value of  $p < 0.05$  was chosen for statistical significance.

The 2x2 agreement tables among the different eGFR equations considered were used for the qualitative analysis. Agreement between the different tests was determined by  $k$  statistics, and 95% CIs were calculated.

## Results

We enrolled and prospectively followed-up 4,093 patients (1,762 males, 43%) who started VKA treatment at the age of 80 years or older for stroke prevention in AF or for secondary prevention after VTE. The total observation period was 9,603 py and the median age of the patients at the beginning of follow-up was 84 years (range 80–102). Clinical characteristics of the entire population are reported in ►Table 1. Creatinine levels at enrolment were available for 3,171 patients (77.5%) and body weight for 2,474 patients (60.4%). Mean serum creatinine levels of the whole population were  $1.07 \pm 0.41$  mg/dl, and 12% of patients had serum creatinine values  $>1.5$  mg/dl (►Table 1). During follow-up, 385 patients died (total mortality rate 4.0 per 100 py): 26 patients (6.8%) because of haemorrhagic complications, 112 patients (29.1%) because of cardiovascular disease, 34 (8.8%) because of sudden death, 12 (3.1%) because of ischaemic stroke, 56 (14.5%) because of cancer, and 145 patients (37.7%) for other diseases not related to VKA treatment. In the whole population, TTR was 62% (IQR 49–75).

## Bleeding events

During the whole observation period, 179 major bleedings were recorded (rate  $1.87 \times 100$  py), of which 26 were fatal (rate  $0.27 \times 100$  py) and 53 were intracranial (rate  $0.55 \times 100$  py). Risk factors significantly associated to bleeding at univariate analysis are reported in ►Table 2. A history of bleeding, active cancer, and a history of falls were all independently associated with major haemorrhage after multivariable Cox regression ( $p < 0.001$  for all three) (►Table 3). Further, we performed a Cox regression analysis adjusted for the same variables, but defining renal failure as  $eGFR < 30$  ml/min/ $1.73$  m<sup>2</sup>, calculated with the three formulas considered. Again, history of bleeding, active cancer, and a history of falls were all independently associated with major haemorrhage (data not shown). Renal failure showed a hazard ratio (HR) for bleeding of 1.5 (95% CI 0.8–2.6;  $p=0.1$ ) with C-G, 1.6 (95% CI 1.0–2.7;  $p=0.067$ ) with MDRD and 1.7 (95% CI 1.0–3.1;  $p=0.057$ ) with CKD-EPI.

**Table 3: Risk factors associated to bleeding events: Multivariate Cox regression analysis.**

	HR	95% CI	P-value
Male sex	1.37	0.9–1.9	0.08
History of bleeding	5.79	3.5–9.5	0.000
Renal failure (serum creatinine $\geq 1.5$ mg/dl)	1.24	0.8–2.0	0.3
Active cancer	2.88	1.8–4.6	0.000
History of falls	2.97	1.7–5.3	0.000
Co-medications ( $\geq 3$ drugs)	1.28	0.9–1.9	0.2

HR, hazard ratio; CI, confidence interval.

### eGFR formulas

Bleeding rate was higher in patients with  $eGFR < 30$  ml/min/1.73 m<sup>2</sup> with respect to patients with  $eGFR \geq 30$  ml/min/1.73 m<sup>2</sup>, irrespective of the applied equation (relative risk [RR] ranging from 1.86 to 2.11, all statistically significant) (► Table 4). In ► Table 4, ORs for bleeding risk in relation to eGFR estimated with the three used formulas are reported, by using  $eGFR > 60$  ml/min/1.73 m<sup>2</sup> as the reference.

► Table 5 reports the distribution of patients in relation to eGFR according to the three different formulas. Based on the C-G equation, only 20.7% of patients had normal renal function ( $eGFR > 60$  ml/min/1.73 m<sup>2</sup>), as opposed to 33.3% according to MDRD and 47.2% according to CKD-EPI equations. The *k* value among the three formulas is reported in ► Table 5.

### Discussion

To our knowledge, this is the largest study to assess the association between bleeding and renal function in a population of very

elderly patients on VKA treatment with a long-term follow-up. As previously reported (18), the rate of major bleedings recorded in this study was 1.87 x100 py, slightly lower than that previously reported in a study conducted in a similar setting (23). Nevertheless, patients included in this study were followed from the beginning of VKA treatment, therefore including the induction phase of the treatment, which is associated with the highest risk for bleeding (24). Our data show that renal failure, irrelevant of the method used to evaluate it, is associated with an increased risk for bleeding, even if not statistically significant. However, it should be noted that when renal failure is evaluated as eGFR calculated with MDRD and CKD-EPI formulas, a trend to significance was found. Moreover, when we considered the incidence of adverse events in relation to time of exposure to VKA,  $eGFR < 30$  ml/min/1.73 m<sup>2</sup> is associated with an increased rate of major bleedings, independent of the equation used to estimate GFR. The finding that in our data renal failure fails to be independently associated with bleeding risk seems in contrast with the general consensus that renal failure is a risk factor for bleeding. Nevertheless, this parameter is commonly considered a risk factor for bleeding; therefore, a clear definition is needed. Serum creatinine levels are inadequate to estimate the degree of renal failure in the elderly (25, 26); with a very low sensitivity in particular in women (24), a correct estimation of the GFR becomes crucial to timely identify this important risk factor. In clinical practice, GFR is usually estimated from equations that include both creatinine levels and age as important variables. Unfortunately, none of the available equations for eGFR has been validated in very elderly patients, and no clear indication exists about which formula is best used for optimal estimation of kidney function in the elderly (17, 27, 28). In this study population of patients aged 80 years or older we found that as many as 5.8% to 10% of our ambulatory patients have severe CKD, whilst the rate of patients with a normal renal function was small, variable between 20.7% when renal function was estimated with the C-G formula to 47.2% when was estimated with the CKD-EPI equation. Chronic renal insufficiency has been recognised as a 'silent epidemic' (4, 5), and its

**Table 4: Bleeding rates in relation to eGFR and ORs for bleeding risk in relation to eGFR with the three considered formulas for GFR estimate.**

	Bleeding events	Bleeds x100 py	RR (95%CI)	P-value	OR (95% CI)	P-value
Cockcroft-Gault (ml/min x1.73 m <sup>2</sup> )	108	2.0				
< 30	18	3.4	1.86 (1.1–3.1)	0.03	1.4 (0.7–2.6)	0.3
30–60	65	1.7	0.65 (0.4–1.0)	0.04	0.8 (0.5–1.2)	0.3
>60	25	2.4	1.16 (0.9–1.8)	0.51	1 (ref)	
MDRD (ml/min x1.73 m <sup>2</sup> )	136	1.8				
< 30	19	3.8	2.11 (1.3–3.5)	0.01	2.3 (1.3–4.1)	0.003
30–60	79	1.8	0.95 (0.7–1.3)	0.8	1.2 (0.8–1.7)	0.4
>60	38	1.5	0.76 (0.5–1.1)	0.2	1 (ref)	
CKD-EPI (ml/min x1.73 m <sup>2</sup> )	136	1.8				
< 30	15	3.8	2.10 (1.2–3.7)	0.01	2.3 (1.3–4.2)	0.005
30–60	64	1.8	1.0 (0.7–1.4)	0.98	1.1 (0.8–1.6)	0.6
>60	57	1.6	0.79 (0.6–1.1)	0.2	1 (ref)	

RR, relative risk; CI, confidence interval; OR, odds ratio.

Table 5: Distribution of patients in relation to eGFR calculated by different formulas and agreement among formulas.

Formulas for eGFR (n. of patients)						
eGFR ml/1.73 m <sup>2</sup>	C-G (2474*/4093)	MDRD (3169*/4093)	CKD-EPI (3169*/4093)	k CG vs. MDRD	k CG vs. CKD-EPI	k MDRD vs. CKD-EPI
<30	247 (10.0)	236 (7.4)	184 (5.8)	0.57	0.60	0.74
30–40	502 (20.3)	381 (12.0)	281 (8.9)			
40–50	747 (30.2)	444 (14.1)	389 (12.2)			
50–60	467 (18.8)	1052 (33.2)	818 (25.9)			
30–60	1716 (69.3)	1877 (59.3)	1488 (47.0)	0.30	0.39	0.62
>60	511 (20.7)	1056 (33.3)	1497 (47.2)	0.34	0.46	0.67

(\*)n. of patients for whom all parameters for the calculation are available. n = number of patients.

prevalence dramatically increases with increasing age (29), since it is well known that GFR decreases progressively with age, with a reduction of 0.87 ml/min/1.73 m<sup>2</sup> (95% CI 0.64–1.1) for each additional year of age (30). The presence of severe CKD has been consistently reported as an independent risk factor for mortality (29) and for bleeding in previous studies (32, 33). For example, in the RIETE study, Monreal et al. (34) reported a significantly increased risk of fatal bleeding in the first three months after VTE in patients with severe CKD as compared to patients without severe CKD, with an OR of 5.0 (95% CI 2.0 to 12.0). For this reason, several bleeding risk stratification models include renal failure as risk factor for bleeding (6–8). A number of studies have compared some of these equations in specific patient populations. Melloni et al. (35) compared C-G and MDRD in non-ST segment elevation acute coronary syndromes patients, and reported important CKD disagreements in a substantial proportion of the patients, with age and gender being among the major determinants of disagreement. Gouin-Thibault et al. (16) also reported poor concordance between C-G and MDRD equations in a retrospective study on elderly hospitalised patients. Also in our study a poor agreement

between C-G and MDRD and between C-G and CKD-EPI equations was found, in particular when differentiating patients with moderate CKD and patients with normal renal function. However, a better agreement among all equations was found for patients with severe CKD. As in previous studies, the use of the C-G formula resulted in an overestimation of the rate of patients with impaired renal function as compared to the use of either the MDRD or the CKD-EPI equations (36). Yet, the association between severe CKD and the occurrence of major bleeding events was similar regardless of the equation used. Similarly, eGFR<30 ml/min/1.73 m<sup>2</sup> is associated with an increased mortality regardless of the equation used (31).

Since patients receive VKA for long periods of time, frequently life-long, the progressive decline in renal function needs to be taken into account. Moreover, elderly patients are frequently prone to acute episodes of intercurrent diseases, such as infections or heart failure, which are commonly associated with a rapid worsening of the renal function. This issue will become even more relevant with the future use of the new oral anticoagulant drugs, such as the direct thrombin inhibitors and the direct factor Xa inhibitors, which, like heparins and unlike the VKA, are mainly cleared via the kidneys and tend to bioaccumulate in the presence of kidney failure.

In the analysis of other bleeding risk factors, we found that the history of bleeding, the presence of active cancer and the history of falls, are independently associated with bleeding. We also found a trend to a slight increase in bleeding rate among males. None of the other variables was an independent predictor of major haemorrhage. In particular, the bleeding risk was not associated with the quality of anticoagulation expressed as TTR, probably due to the elevated TTR of the whole population examined.

### What is known about this topic?

- Vitamin K antagonists (VKA) are increasingly used in elderly for prevention of stroke in atrial fibrillation and for venous thromboembolism.
- Renal function progressively decreases with age and renal failure is frequent among elderly.
- Renal function is staged on the basis of estimated glomerular filtration rate (eGFR) and renal failure is associated with an increased risk for bleeding.

### What does this paper add?

- Among ambulatory patients older than 80 years only a proportion ranging from 20.7 to 47.2% had a normal renal function and differences are due to the equation used to estimate the GFR.
- Although the different available equations yield different estimations of the severity of kidney disease, all equations appear to be similarly accurate to predict the risk of major bleeding in patients on VKA treatment.

### Study limitations

A first potential limitation of our study is that we could not perform a direct measurement of the GFR, to be used as a referral standard. However, most of the studies published in the last years only relied on the available equations as surrogate markers of kidney

### The following investigators and centres participated (no. of enrolled patients):

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- Giovanni Nante, Vittorio Pengo, Department of Medical and Surgical Science University of Padova (287)
- Umberto Carini, Cardiovascular Medicine, Misericordia Hospital Grosseto (280)
- Giuliana Guazzaloca, Gualtiero Palareti, Department of Angiology and Blood Coagulation "Marino Golinelli", University Hospital S. Orsola-Malpighi, Bologna (199)
- Anna Rita Scortechini, Lucia Canafoglia, Simona Tomassetti, Haematology Clinic Umberto 1° Hospital Ancona (183)
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disease and, thus, our results can well compare with the results of previous trials. Second, serum creatinine levels were measured by different laboratories. This might be a source of variability contributing to limit the agreement between formulas. However, all the laboratories complied with quality control programs, and the inter-laboratory variability for creatinine measurement is acceptably low among Italian laboratories. In addition, the body weight was available only for 60% of patients, limiting the applicability of C-G formula to this group of patients.

Finally, there was no independent adjudication committee for this study, therefore the definition of the study endpoints was based on the definitions provided by each participating centre. However, participating centres were required to clearly describe all adverse events and, for all events that lacked of adequate description in the data set, the coordinating Centre requested further information to ascertain the real occurrence of the event. When the event did not fulfil definition, it was not included.

### Conclusions

In a population of elderly (age >80 years) patients receiving VKA, eGFR < 30 ml/min was associated with an increased rate of major haemorrhagic events. Because serum creatinine levels are inadequate for detecting CKD in the elderly, we suggest periodic monitoring of eGFR in elderly patients receiving VKA therapy. Although the different available equations yield different estimations of the severity of kidney disease, all equations appear to be similarly accurate to predict the risk of major bleeding in patients on VKA treatment.

### Conflicts of interest

None declared.

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