

Macrophage polarization in chronic inflammatory diseases: killers or builders?

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ABSTRACT

Macrophages are key cellular components of the innate immunity, acting as the main player in the first-line defence against the pathogens and modulating homeostatic and inflammatory responses. Plasticity is a major feature of macrophages resulting in extreme heterogeneity both in normal and pathological conditions. Macrophages are not homogenous and they are generally categorized into two broad but distinct subsets as either classically activated (M1) or alternatively activated (M2). However, macrophages represent a continuum of highly plastic effector cells, resembling a spectrum of diverse phenotype states. Induction of specific macrophage functions are closely related to the surrounding environment that acts as a relevant orchestrator of macrophage functions. This phenomenon, termed polarization, results from cell/cell, cell/molecule interaction, governing macrophage functionality within the hosting tissues. Here, we summarized relevant cellular and molecular mechanisms driving macrophage polarization in “distant” pathological conditions, such as cancer, type-2-diabetes, atherosclerosis, periodontitis that share macrophage-driven inflammation as a key feature, playing their dual role as killers (M1-like) and/or builders (M2-like). We also dissect the physio/pathological consequences related to macrophage polarization within selected chronic inflammatory diseases, placing polarized macrophages as a relevant hallmark, putative biomarkers and possible target for prevention/therapy.

1 **1. Introduction**

2 Macrophages belong to the mononuclear phagocyte system (MPS), a family of professional
3 phagocytes that includes monocyte and dendritic cells (DCs). Over the past few decades,
4 classification of the cells within the MPS system has generated considerable controversy given the
5 different, often confusing, nomenclature to identify macrophages in different physio/pathological
6 conditions as consequence of their plasticity, resulting in very different phenotype/functions.

7 The first open debate arises already in the definition of macrophage cell of origin. The
8 classic scenario of the MPS stated that monocytes recruited from the periphery, under the influence
9 of specific tissue-local growth factors developed into macrophages. According to this scenario,
10 macrophages derive from hematopoietic progenitors of bone marrow that differentiate under the
11 influence of specific growth factors during within the hosting tissues [1]. These cells primarily enter
12 the blood as monocytes, and further infiltrate tissues as macrophages, where they adapt to the local
13 microenvironment to play out specific functions, such Kupffer cells in the liver, microglial cells in
14 the brain [2] and mesangial cells in the kidney [3].

15 This view has been completely reconsidered over the last decade and the ontogeny of
16 macrophages has been totally rewritten, based on genetic approaches of cell fate mapping. New
17 evidence demonstrated that macrophages can originate from embryonic precursor cells that
18 colonized developing tissues before birth (fetal tissue macrophages) and that tissue resident
19 macrophages have self-maintaining abilities in the adulthood. Murine models allow the definition of
20 three main sources for tissue-resident macrophages: i) the yolk sac in the embryo as a source for
21 progenitor cells by primitive haematopoiesis; ii) the fetal liver, where the haematopoiesis takes
22 places, shifting from the yolk sac and iii) the bone marrow, that become the elicited hematopoietic
23 center in late embryos and adult organisms [4-6]. Another intriguing scenario, concerning the origin
24 and persistence of macrophages has been proposed by Gomez et al. [7]. The model proposed is that
25 resident macrophages, developing in the embryo independently of the hematopoietic stem cell
26 (HSC) compartment [2, 8-11], still persist in adults and can coexist with the so termed “passenger”
27 leucocytes, that includes monocytes, DCs, originated from bone marrow HSCs and myeloid
28 progenitors [1, 12, 13].

29 The abundance of macrophages within tissues is finely controlled through the axis colony
30 stimulating factor-1, or macrophage-colony stimulating factor (CSF-1 or M-CSF), IL-34 and colony
31 stimulating factor-1 receptor (CSF-1R) [14].

32 It has been reported that recruited macrophages differ from the resident tissue ones in term
33 of transcriptional profiling. Even if the term “macrophage activation” has been commonly used to
34 describe macrophage activity in response to diverse stimuli, several studies pointed out that the

1 results of cell activation deeply depend on the macrophage location and on the stimulus, that trigger
2 their activation.

3 *In vitro* and *in vivo* studies have shown that the phenotypic heterogeneity of macrophages
4 correlates with peculiar functions specific to their local microenvironment [15] and this plasticity
5 enables the appropriate response to pathogen or injury challenge.

6 Macrophage activation can be obtained in response to a plethora of diverse stimuli,
7 including microbial products, damaged cells, activated lymphocytes, inflammatory cells, and can
8 result in the acquisition of distinct functional subsets undergoing different phenotypic polarization.

9 Macrophage plasticity and heterogeneity give rise to a still opened debate, concerning the
10 nomenclature to identify cell subsets/subtypes undergoing in such different phenotypic, functional
11 (cytokine release), metabolic, regulatory (vs other arms of innate and adaptive immunity) re-
12 arrangements.

13 On the basis of the type-1/type-2 helper T(h)-cell polarization concept [16, 17],
14 phenotypically polarized macrophages have been defined according to two primary activation
15 states, termed classically activated M1 and alternatively activated M2 (Figure 1A). M1 and M2
16 nomenclature has been long and lastly employed to define the “supposed” main subsets of
17 macrophages, originates in 2000 by Mills et al [18]. Basically, M1 and M2 responses exemplify the
18 opposing activities of killing (pro-inflammatory, “killer M1”) and repairing (anti-inflammatory,
19 “builder M2”) [19].

20 However, macrophage polarization in many physiologic and pathologic conditions represent
21 a continuum, involving high plasticity and heterogeneity of these effector cells, and resemble
22 mainly to a spectrum of distinct polarization states that do not fit to the oversimplified M1/M2
23 classification. Hence, in line with a consensus recommendation, we decide to use ‘M1’ to indicate
24 only IFN- γ and LPS-driven macrophage phenotypes, and ‘M2’ to refer to macrophage phenotypes
25 triggered only by IL 4 or IL 13. Furthermore, we use ‘M1-like’ to illustrate diverse signal-induced
26 polarization states that leads to cell cytotoxic function (killer) and anti-tumor activities, and ‘M2-
27 like’ in relation to distinct phenotypes that share the functional capacity of repair, inducing new
28 vessels and remodelling (builder) in parallel with tumor promotion and immunosuppressive ability
29 toward T-cell responses [20] (Figure 1B).

30 In a normal tissue, the ratio of M1-like/M2-like macrophages is highly regulated and
31 increases during the inflammation process [21]. Gene expression profile analysis showed that M1
32 macrophages can release high levels of pro-inflammatory cytokines, including tumour necrosis
33 factor- α (TNF- α), CCL2 also known as monocyte chemoattractant protein-1 (MCP-1), IL-6,
34 inducible nitric oxide synthase (iNOS), IL-1, IL-12, type I IFNs, CXCL1-3, CXCL5, and CXCL8-

1 10 [22]. On the contrary, M2 macrophages have been demonstrated to express high levels of
2 Dectin-1, DC-SIGN (CD209), mannose receptor (CD206), scavenger receptor A, scavenger
3 receptor B-1, CD163, CCR2, CXCR1, and CXCR2 [23] and to produce large amount of IL-10,
4 YM1, macrophage and granulocyte inducer-form 1 (MgI1) and arginase-1, highlighting their
5 relevance during tissue remodeling and repair [24].

6 Macrophage polarization and functions are tightly regulated through the activation of several
7 interconnected pathways. Among all, the balance between activation of STAT1 and STAT3/STAT6
8 has been demonstrated to play a crucial role, indeed, the predominance of STAT1 activation
9 promotes M1 macrophage polarization, resulting in cytotoxic and pro-inflammatory functions. In
10 contrast, STAT3 and STAT6 activation by IL-4/-13 and IL-10 signaling increases M2 macrophage
11 polarization, associated with active tolerance and tissue repairing [22]. Moreover, the downstream
12 effector of STAT6, KLF-4, promotes M2 macrophage functions by suppressing the NF- κ B/HIF-1 α -
13 dependent transcription. IL-10 promotes M2 polarization inducing p50 NF- κ B homodimer, c-Maf,
14 and STAT3 activities. In addition, IL-4 induces c-Myc that activate the IRF4 axis that inhibit IRF5-
15 mediated M1 polarization, resulting in the M2 promotion [22]. Bouhleb et al. also demonstrated the
16 relevance of PPAR- γ (peroxisome proliferator-activated receptor gamma) in skewing human
17 monocytes toward an anti-inflammatory M2 phenotype. Indeed, the authors showed that PPAR- γ is
18 highly up-regulated in M2 macrophages and PPAR- γ agonists have been demonstrated to induce
19 directly M2-like differentiation of monocytes *in vivo* and *in vitro* [25].

20 In the past decade, a novel class of small non-coding RNAs, termed microRNAs (miRs),
21 have emerged as important regulators in biological processes. Accumulating evidence suggest a
22 relevant role for several miRs in the polarization process (Figure 1A). In particular, miR-155 and
23 miR-223 are involved in modulating macrophage activation state by targeting SOCS1, C/EBP (a
24 hallmark of M2 macrophages), and Pknox1, respectively [26]. Overexpression or silencing of miR-
25 155 have been demonstrated to drive macrophages to M1 or M2 phenotype, respectively,
26 confirming that miR-155 plays a central role in regulating Akt-dependent M1/M2 polarization of
27 macrophages. It has been also shown that miR-155 downregulates the expression of IL-13R α 1,
28 suppressing the polarization towards M2 phenotype [27, 28]. Some studies have observed that let-
29 7c was expressed at a higher level in M2 macrophages than in M1 macrophages. Accordingly, the
30 upregulation of let-7c in macrophages diminished M1 phenotype and promote M2 polarization
31 targeting C/EBP-d [29, 30]. miR-146, miR-125b, miR-155, and miR-9 can inhibit TLR4/IL-1R
32 signaling by regulating IRAK-1, TRAF6, IKK ϵ , p50 NF- κ B, and TNF- α [29]. Further, miR-17,
33 miR-20a, and miR-106a reduce the expression level of the signal-regulatory protein (SIRP α), an
34 important macrophage differentiation related marker. miR-98 and miR-21 downregulate the

1 expression of inflammatory genes in monocytes and macrophages via controlling IL-10 level [31].

2 Emerging data have demonstrated that epigenetic mechanisms, including chromatin
3 remodelling, DNA methylation (DNAm), histone modifications and regulation of target-gene
4 expression are also involved in the orchestration of macrophage polarization in response to local
5 environmental signals [22, 32, 33]. M1 and M2 macrophages have been shown to expressed
6 different levels of DNA methyltransferase (DNMT) 1, 3a and b that are associated with gene
7 silencing [34]. DNMT1 drives the M1 polarization in atherosclerosis by directly target the promoter
8 of PPAR- γ in macrophages [35]. The DNMT3b binding of the promoter of PPAR- γ contributes to
9 the M1 phenotype in adipose tissue during inflammatory process [33].

10 Lund et al. demonstrated that atherogenic lipoproteins can promote global DNA
11 hypermethylation in monocyte [36]. Thus, DNMT inhibition or knockdown could decrease the M1
12 polarization, providing novel strategies for atherosclerosis prevention and therapy. Accordingly, the
13 treatment with 5-Aza 2-deoxycytidine (Decitabine), a recognized inhibitor of DNMTs, results in an
14 increased M2 polarization induced by the inhibition of PPAR γ promoter, which in turn prevent
15 obesity-induced inflammation, atherosclerosis and insulin resistance [37, 38]. DNMT3a and
16 DNMT3a1 expression levels have been shown to be increased significantly in M2 compared to M1
17 macrophages and this is associated with AMPK-signaling [33]. On the contrary, DNMT3b was
18 significantly lower in M2 compared with M1 adipose macrophages [39]. Histone H3 and H4
19 acetylation were found to be toughly associated with the maturation of human monocytes [40]. M1
20 polarization induced by IFN- γ increases histone H4 acetylation at the TNF- α promoter throughout
21 the ERK and p38 mitogen-activated protein kinases (MAPKs) signaling pathways [41]. STAT3 and
22 MAPKs activation and the simultaneous acetylation of histones H3 and H4 on the SOCS-3
23 promoter suppress the inflammatory responses in microglial cells and promote M2 polarization
24 [42]. Histone deacetylase 3 (HDAC3)-deficient macrophages showed a decreased expression of
25 IFN- β and Cox-1 showing an M2-like phenotype and thereby ameliorate many inflammatory
26 diseases, such as pulmonary inflammation [43-45].

27 Such heterogeneity in macrophage phenotypes and functions generated the still open
28 questions of whether they act as killer or builders. During inflammation, macrophages drive in the
29 auto regulatory loop characterizing this process, as they release a wide range of biologically active
30 molecules participated in both detrimental (killers) and beneficial (builders) in inflammation [46-
31 48]. Therefore, inflammation stands as the typical environmental setting where macrophages show
32 their “Janus” behaviour [46-48]. During the first events occurring during inflammation,
33 macrophages are endowed to kill/remove pathogens and damaged cells, while at the end of the
34 inflammatory process, termed resolution of inflammation, macrophages act as builders that promote

1 damaged tissues regeneration and return to homeostasis [49-51]. Since inflammation represents a
2 shared hallmark from diverse chronic diseases and direct involved in insurgence and progression of
3 these conditions, here we discuss whether macrophages can act as killers or builders within the
4 inflammatory landscape of selected and apparently “distant” pathologic conditions.

5 6 **2. Macrophages in cancer: killers or builders?**

7 Macrophages represent the most abundant tumor infiltrating inflammatory cells [52, 53]. Reflecting
8 their extreme plasticity within healthy tissues, macrophages infiltrating tumors can acquire distinct
9 phenotype and functions resulting in the attenuation of anti-tumor activity and induction of tumor-
10 supporting functions and have been defined as tumor-associated macrophages (TAMs) with M2-
11 like features (Figure 2). However, in the initial phases of carcinogenesis, macrophages can act as
12 protective killer cells, cooperating with T lymphocytes in the control of early proliferating cancer
13 cells in the immunoediting process [54]. Instead, in developing tumors compelling evidence
14 indicate that subverted macrophages or TAMs, exert a major role in driving tumor progression by
15 different mechanisms and pathways, depending of the types of tumor, tissues and inflammatory
16 mediators. The builder option of macrophages in the tumor microenvironment (TME) can lie to
17 conditions in which a chronic non-resolving inflammation is established, feature that has been
18 defined a hallmark of cancer [55], and that point out TAMs as key inflammatory mediators able to
19 link chronic inflammation with cancer development and progression [56, 57].

20 Among soluble factors that mediate their displacement there are CCL2, CCL5, CSF-1,
21 VEGF and complement elements, which are often produced by the cancer cells and stroma cells in
22 the TME. Moreover, some TAMs can derive from differentiation of monocytic myeloid-derived
23 suppressor cells (M-MDSCs) via upregulation of CD45 tyrosine phosphatase activity in response to
24 tumor hypoxia and following downregulation of STAT3 [58].

25 Tumor promoting or builder activities exerted by TAMs have been demonstrated by several
26 studies. Elevated TAM infiltration has been correlated with worse clinical outcome in most
27 malignant tumors, such as breast, cervical, ovarian, prostate, and thyroid cancers, Hodgkin’s
28 lymphoma, hepatocellular carcinoma, lung carcinoma, and cutaneous melanoma [56, 59-65]. In
29 contrast of these findings, some reports have instead highlight that tumor infiltrating macrophages
30 correlated to increased survival in colorectal, prostatic, and lung cancer patients [66-70]. The main
31 builder features of TAM include the ability to support tumor angiogenesis as well as
32 lymphangiogenesis, to increase the breakdown of extracellular matrix, to promote tumor cell
33 invasion and migration, and to suppress the anti-tumor immune responses [56, 62, 71, 72]. These

1 functions are shared with M2-like macrophages that, in a physiological context, are induced during
2 vascular and matrix remodelling, necessary for damage resolution [73-77].

3 TAM infiltrate is also associated with the onset of resistance to different chemotherapeutic
4 agents through the activation of diverse pathways. In breast cancers, TAMs can induce IL-
5 10/STAT3/Bcl-2 signalling, leading to an inhibition of apoptosis upon paclitaxel treatment [78]. In
6 advanced lung adenocarcinomas, TAMs are also reported to decrease the responsiveness to target
7 therapy based on the epidermal growth factor receptor tyrosine kinase inhibitors [79].

8 M2-like TAMs support tumor growth directly by producing cytokines able to stimulate the
9 proliferation of tumor cells or indirectly, by fostering endothelial cell (EC) proliferation and
10 angiogenesis (Figure 2). It has been reported that the growth of subcutaneous Lewis lung tumors is
11 impaired in the CSF-1-deficient, macrophage-deficient mice [80]. Furthermore, treatment of tumor-
12 bearing mice with recombinant CSF-1 re-established the tumor growth, indicating a role for
13 macrophages in tumor growth. TAMs can produce IL-6, whose release impact on cell proliferation
14 by a STAT3-dependent mechanism. Inhibition of STAT3 signalling blocks the anti-apoptotic
15 activity of IL-6 in human liver cancer cells [81]. TAMs are lower producers of TNF- α , resulting in
16 enhanced tumor growth. Hypoxia significantly impact on the TAM-tumor cell interaction that
17 induce the expression of CXCR4 and its ligand, CXCL12 (SDF-1), further supporting tumor cell
18 dissemination and angiogenesis [82]. The number of TAMs within a tumor has been positively
19 correlated with its metastatic potential, suggesting a role for TAMs in the distant dispersion of
20 tumor cells [52, 83, 84]. By producing different types of enzymes and proteases, such as matrix
21 metalloproteinases (MMPs), in particular MMP2 and MMP9, plasmin, urokinase plasminogen
22 activator (uPA) and cathepsins [85-87] (Figure 2), TAMs can regulate the degradation of the
23 extracellular matrix (ECM), and dictate tumor invasion and the metastatic process [19]. These
24 factors act by relaxing the connective tissue surrounding the tumor, allowing tumor cells to detach
25 from the mass of origin and to disseminate, leading to the formation of distant metastases.

26 TAMs sustain tumor angiogenesis by producing VEGFA (VEGF), the master growth factor
27 involved in the angiogenic process. Besides VEGF, TAMs release a panel of pro-angiogenic factors
28 which include TNF- α , basic fibroblast growth factor (bFGF), CXCL8/IL-8, thymidine
29 phosphorylase (TP), adrenomedullin (ADM), and semaphorin 4D (Sema4D) [88-91] (Figure 2).
30 These factors released by TAMs act by inducing endothelial cell proliferation, sprouting and
31 migration of ECs into the tumor, tube formation and maturation of new vessel, followed by its
32 stabilization by attaching mural cells [92].

33 It has been recently reported that expression of Sema3A from tumor cells is able to promote
34 TAM accumulation inside the tumor, particularly in the avascular areas and required Neuropilin-1

1 (NRP-1)-signaling cascade [93]. Macrophages are not only critical regulators of angiogenesis, but
2 also crucial participants in lymphangiogenesis via VEGFC and VEGFD release, both in
3 inflammatory settings and in tumor progression [94]. Thus, TAM-derived factors can link tumor
4 angiogenesis and lymphangiogenesis [95-97].

5 Among TAMs, a relevant pro-angiogenic monocyte/macrophage subset, characterized by
6 some distinctive features, has been further identified. These macrophages can express the
7 angiopoietin receptor Tie2, termed TEMs (Tie2-expressing macrophages) and are closely associated
8 with the vasculature [98, 99]. These cells have been implicated in the interference and in the
9 resistance of action of anti-angiogenic therapeutics, in particular vascular disrupting agents, and
10 experimental data support the notion that inhibition of TEMs can foster anti-angiogenic treatments
11 with higher inhibition of angiogenesis and tumor spreading [100, 101].

12 Apart from their extreme plasticity, TAMs also sustain an immunosuppressive milieu aiding
13 tumors to escape from immune surveillance [102]. TAM contribution to tumor progression acts also
14 through synergistic interaction with other arms of the innate and adaptive immunity [46-48, 103]
15 within the immunosuppressive TME. TAMs can interact with MDSCs, neutrophils and DCs [104,
16 105]. TAMs also orchestrate recruitment of T regulatory cells, by secreting CCL20 [106, 107] and
17 CCL22 [108] and their activation through a bidirectional interaction by release of IL-10 and TGF- β
18 [107, 109-111].

19 Moreover, TAMs represent an important factor for the establishment of the pre-metastatic
20 niche [112-116].

21 Different therapeutic strategies have been developed to target TAM physiology with
22 encouraging preclinical and clinical results, either by blocking their tumor recruitment and
23 functions, or by redirecting their features to anti-tumor effector activities [57, 81, 117-121]. In
24 several preclinical experimental models, including prostate, breast, lung and melanoma the specific
25 inhibition by antibodies of CCL2 has proven its promising effects, and when they are delivered in
26 combination with chemotherapy shown enhancement of the effectiveness of treatment [122, 123].
27 However, though in a mouse model of breast cancer, it has been reported a rebound effect following
28 inhibition of CCL2 pathway that resulted in the recruitment of monocytes/macrophages into the
29 tumor and enhancement of lung metastasis [124], different antibodies targeting CCL2 have been
30 entered phase I and II clinical trials. Regarding CCL5-CCR5 axis blocking strategies, a CCR5
31 antagonist, has been approved as a treatment for patients with liver metastases of advanced
32 refractory colorectal cancers and preliminary results indicated that this approach can lead to clinical
33 responses [125]. Another interesting TAM-specific therapeutic treatment involves interferences
34 with the CSF-1-CSF-1R axis, and in particular the receptor tyrosine kinase CSF-1R. Several

1 compound and antibody inhibitors have been developed and evaluated in preclinical models and in
2 patients with different types of cancer [120]. Important clinical regressions were obtained from
3 patients with diffuse-type tenosynovial giant-cell tumor, which experienced CSF-1R tumor
4 overexpression [120]. Interestingly, in a mouse glioblastoma multiforme model, CSF-1R blockade
5 did not affect the TAM numbers but instead the M2-like TAM polarization, which is associated
6 with the block of glioma progression and improvement of survival [119]. Also, bisphosphonates,
7 usually used to treat osteoporosis and to prevent bone metastases-related complications, can be used
8 to target macrophages in the tumor context, although their cytotoxic effects have been illustrated
9 initially toward osteoclasts [126]. Combination chemotherapy or hormonal therapy with
10 bisphosphonates in different types of tumor have shown clinic synergistic effects, in particular in
11 postmenopausal women with breast cancer [127]. Another encouraging therapeutic strategy is
12 related to agonistic anti-CD40 antibody and gemcitabine in pancreatic ductal adenocarcinoma
13 patients. This approach revealed clinical responses and importantly, demonstrated that in treated
14 mice the CD40 agonist approach is responsible of re-education of M2-like TAM towards an M1-
15 like phenotype and of effective anti-tumor responses [128, 129]. Finally, a recently identified
16 compound, that found application in soft tissue sarcomas and ovarian cancer patients is trabectedin,
17 which induces selective TRAIL-dependent apoptosis of monocytes, macrophages and M-MDSCs in
18 blood, spleens, and tumors with reduction of TAM numbers and angiogenesis [130, 131].

19

20 3. Macrophages in type 2 diabetes: killers or builders?

21 Type 2 diabetes (T2D) is a metabolic disorder, and its incidence has increased significantly in
22 recent years. T2D is characterized by a peripheral resistance to the action of insulin and a failure of
23 beta cells to compensate, leading to hyperglycaemia. It is now widely accepted that obesity
24 increases the risk of T2D by inducing a chronic low-grade inflammation [132] and progression in
25 local adipose tissue.

26 Accumulating evidence supports a role for tissue macrophages in a broad spectrum of
27 inflammatory conditions [133], including obesity-associated metabolic diseases, such as insulin
28 resistance and T2D [68, 134].

29 Macrophages together with other immune cells account almost 10% of the normal adipose
30 tissue and play a key role in maintaining homeostasis. However, diet-induced obesity, compromises
31 homeostasis, resulting in an increased infiltration of macrophages representing up to 50% of the
32 cells in adipose tissue [135, 136].

33 Several studies have established the crucial role of macrophage polarization in the
34 development of T2D. The M1/M2-like polarization of tissue-destructive (killers) versus tissue-

1 reparative (builders) macrophages is of great interest in clinical strategies because of their role in β -
2 cell proliferation [137]. Recent evidence demonstrate that the high plasticity and phenotypic
3 diversity of macrophages promote the cross-talk between β cells, non- β endocrine cells, endothelial
4 cells, mesenchymal cells, and other circulation-derived blood cells [138-140]. Builder-M2-like
5 macrophages regulate β -cell proliferation through the release of a variety of trophic factors such as
6 TGF- β 1, which directly induce upregulation of SMAD7 in β -cells. SMAD7 in turn promotes β -cell
7 proliferation by increasing CyclinD1 and CyclinD2 and by inducing nuclear exclusion of p27 [141]
8 (Figure 3). In addition, M2-like macrophages also secrete Wnt ligands, thus activating Wnt
9 signaling pathway, and β -catenin, supporting β -cell replication [138] (Figure 3). Conversely, only
10 few studies investigating the polarization state of macrophages in pancreatic microenvironment
11 have been described in literature [16-19], where an overall increase of macrophages/islets have
12 been detected by immunohistochemistry. Eguchi et al. [142, 143] showed that Ly6c⁺ M1
13 macrophage was expanded in the diabetic mouse islet. Ly6c⁺-killer-M1 macrophage have been
14 showed to secrete IL-1 β , resulting in potent inhibition of insulin secretion, followed by islet
15 destruction (Figure 3). The use of IL-1R antagonists and anti-IL-1 β -neutralizing antibodies was
16 able to abolish these effects on pancreatic islets [21-24].

17 Several studies in T2D have shown that M1-like macrophages resulted in increased
18 inflammation, obesity, and insulin resistance, while M2-like macrophages are associated with a
19 reduction in both obesity and insulin resistance [144]. M2-like macrophages are reported to not only
20 suppress inflammatory cytokine IL-10 [145], but also provide a niche for preadipocytes to keep the
21 number and quality of them, thus maintaining insulin sensitivity [146].

22 These data clearly suggest that macrophages play a non-redundant role in the pathogenesis
23 of T2D [147]. An important aspect of diabetes prevention is a better understanding of the
24 underlying mechanisms behind obesity-induced visceral adipose tissue inflammation, crucial for the
25 development of T2D.

26 Obesity is associated with the accumulation of pro-inflammatory cells in visceral adipose
27 tissue, which is an important underlying cause of insulin resistance and progression to T2D [148-
28 150]. Establishing the initiating events leading to the switch from an anti-inflammatory M2-like
29 state, to M1-like phenotype remain elusive.

30 Recent studies show that obesity-induced adipocyte hypertrophy results in upregulated
31 surface expression of stress markers. Adipose stress is detected by local sentinels, such as NK cells
32 and CD8⁺ T cells, which produce IFN- γ , driving M1-like adipose tissue macrophages (ATM)
33 polarization [148-150]. Adipocyte hypertrophy has been reported to create hypoxic area and

1 activates hypoxia-inducible factor-1, which induces inflammatory cytokines and suppresses
2 preadipocyte-related angiogenesis and causes insulin resistance [151].

3 Normal adipose tissue macrophages phenotypically resemble the alternatively activated M2-
4 like phenotype, expressing the mannose receptor, the CD206 surface antigen and releasing
5 arginase-1 and IL-10. In contrast, diet-induced obesity, leads to a shift toward an M1 classically
6 activated macrophage, characterized by the F4/80, CD11b, and CD11c expression [152] (Figure 3).

7 Low-grade inflammation in this setting is mediated by the polarization of recruited and
8 resident macrophages to the M1-like phenotype in tissues, such as liver and adipose tissue [153,
9 154]. In contrast, M2 macrophage activation appears to protect against obesity-associated
10 inflammation and insulin resistance [155, 156]. Several cytokines and chemokines, such CCL2,
11 interleukin IL-6, IL-1 β , macrophage migration inhibitory factor (MIF), and TNF- α , can be released
12 by both adipocytes and macrophages [157, 158]. Macrophages within adipose tissue are recruited
13 from the bone marrow and are characterized by a wide panel of factors that track with the degree of
14 obesity [136, 159, 160]. Indeed, the paracrine as far as the endocrine activity exert by the pro-
15 inflammatory cytokines, including TNF- α , IL-6, IL-1 β released by ATMs can induce decreased
16 insulin sensitivity through the activation of Jun N-terminal kinase (JNK), inhibitor of IK κ B kinase
17 (IKK- β), and other serine kinases in insulin target cells [161, 162].

18 The unbalance in the ratio between M1-like and M2-like adipose macrophages has been
19 considered to be directly related to the development of insulin resistance [21, 149]. Insulin
20 resistance resulted from a transition in macrophage polarization from the M2-like activation state,
21 induced by STAT6 activation and PPAR, to a classic M1-like activation state, further driven by NF-
22 κ B, AP1, and other related factors [163-165].

23 The network of molecular mediators that regulate M2 polarization in response to
24 hypermetabolism is not fully understood, but Peroxisome proliferator-activated receptor gamma
25 coactivator 1-alpha (PGC-1 α) and PPAR- γ target genes, such as Arginase-1 and CD36 are
26 implicated in this process. PPAR- γ has been proven to be essential for macrophage M2 polarization
27 with the function of anti-inflammation and associated with metabolic dysfunction [145, 156, 166].
28 PPAR- γ was found to be a miR-130b target gene in regulating macrophage polarization insulin
29 tolerance via repression of PPAR- γ [167]. Several studies have shown that, PPAR- γ interacts with
30 NF- κ B, in the modulation of macrophage polarization. PPAR- γ blocked the proinflammatory
31 pathway of NF- κ B and inhibited the expression of relative factors, such as TNF- α [168].

32 Further, it was shown that IL-6 acts as a Th2-builder cytokine in obesity by stimulating M2-
33 like polarization and local ATM proliferation, presumably due to upregulation of the IL-4 receptor
34 α [169]. Recently it has been reported that adenosine monophosphate kinase (AMPK) β 1 play an

1 important role in protecting macrophages from inflammation under high lipid exposure resulting in
2 a modulation of obesity-induced insulin resistance (Figure 3). Genetic deletion of the AMPK β 1
3 subunit in mice reduced macrophage AMPK activity, acetyl-CoA carboxylase phosphorylation, and
4 mitochondrial content, resulting in reduced rates of fatty acid oxidation [170].

5 Inhibition of proinflammatory cytokines and chemokines, such as TNF- α , IL-1 β , IL-6, and
6 CCL2 may reduce adipose tissue inflammation and insulin resistance [147, 171, 172]. For instance,
7 several studies have demonstrated that treatment with neutralizing IL-1 β antibody or blockage of
8 IL-1 β signalling improved glycaemic control in diet-induced obese mice and insulin sensitivity in
9 patients with T2D [173-176]. Other findings suggest that CCL2-CCR2 signalling pathway
10 disruption reduce adipose tissue macrophage content ameliorating insulin resistance and improve
11 insulin sensitivity [160, 177]. CCL2 knockout mice receiving intact monocytes or mice receiving
12 CCR2-deficient monocytes were both protected from accumulation of macrophages in adipose
13 tissue and the liver. [178] So far, targeting CCL2-CCR2 signalling pathway may provide the basis
14 for the development of novel therapies against T2D. *In vivo* studies have shown that circulating
15 levels of free fatty acid (FFA) promotes the generation of M1 macrophages via TLR4 signalling in
16 adipocytes and macrophages in the setting of obesity [179-181]. In this context, adipose tissue
17 inflammation is aggravated by the secretion of TNF- α , which in turn increase lipolysis leading to
18 further production of FFAs establishing a vicious circle. Resistin is another potential target to
19 combat insulin resistance or type 2 diabetes. In fact, resistin induction which in turn stimulates
20 secretion of several proinflammatory cytokines by increased infiltration of macrophages causes
21 inflammation-induced insulin resistance [182-184].

22 Several phase II and III clinical trials have been initiated to inhibit key immunological
23 processes of adipose tissue inflammation in T2D patients, such as NF- κ B signaling, IL-1 β function,
24 or arachidonic acid metabolism, with promising results [148].

25 Shift in the polarization of adipose tissue macrophages from an M2-like state to an M-like
26 pro-inflammatory state resulting to insulin resistance favours inflammation and insulin resistance
27 [145]. Thus, targeting of inflammatory M1/M2-like polarization process of obese patients appears
28 to be a promising future strategy for prophylaxis against diabetes development. For instance,
29 adipose tissue macrophages from CCR2 knockout mice are polarized to the M2-like macrophages,
30 even after obesity and CCR2 knockout mice were found to be protected from diet-induced insulin
31 resistance [145, 160]. Furthermore, it has been shown that inhibition of IL-10 secreted by M2-like
32 macrophages enhances the impairment of insulin signalling confirming its protective role in T2D
33 [185].

1 Insulin-sensitizing thiazolidinediones (TZDs), clinically used for T2D patients [186] target the
2 PPAR- γ that play a key role in the maturation of M2-like macrophage and insulin sensitivity.
3 PPAR- γ deletion prevents polarization of the monocyte/macrophage to the M2-like phenotype and
4 PPAR- γ -deficient mice exhibit glucose intolerance and insulin resistance [187]. Therefore, existing
5 and future drugs mechanisms may be involved modulating the phenotypical and functional features
6 of macrophages. For instance, metformin, a drug widely used to treat T2D, to decrease insulin
7 resistance it has been proposed that the benefit may result, at least in part, from modulating
8 macrophage differentiation and polarization [188, 189]. How metformin can modulate the
9 differentiation of Ly6C monocytes into M2-like macrophages remains the subject of ongoing
10 interesting studies. In addition to glucose-lowering drugs, T2D patients are typically treated with
11 low-dose aspirin (acetylsalicylic acid) that have off-target anti-inflammatory properties. Aspirin
12 exerts its anti-inflammatory effects via inhibition of cyclooxygenase and a subsequent decrease in
13 the pro-inflammatory prostaglandins [190]. Recently it has been demonstrated that aspirin-triggered
14 Resolvin D1 into a degradable biomaterial after injury was able to significantly increase the
15 accumulation of anti-inflammatory monocytes and M2-like macrophages while limiting the
16 infiltration of neutrophils and increase pro-regenerative immune subpopulations [191].
17 Incretin-based treatments and the cannabinoid 1 receptor (CB1) blocker rimonabant have anti-
18 inflammatory effects and may protect the pancreatic islets from IL-1 β -driven. However, this
19 anorectic anti-obesity and glucose lowering drug had also psychiatric side effects [164, 192, 193].

20 Several studies highlight the role of miRs as key regulators of cell fate determination and
21 significant contributors to the pathogenesis of complex diseases, such as inflammatory responses
22 and T2D [194]. It was found that miR-223 inhibit Pknox1, suppressing proinflammatory activation
23 of macrophages and protects against diet-induced adipose tissue inflammatory response and
24 systemic insulin resistance [195]; miR-130b was found to be a novel regulator of macrophage
25 polarization via repression of PPAR- γ and a promising target for T2D therapy [167]; miR-27a were
26 also proposed as target of intervention for inflammation and insulin resistance in obesity [196].

27 In summary, M1/M2-like macrophage polarization and switching hold the key to the
28 regulation of insulin sensitivity and T2D. Macrophage polarization toward the alternative M2-like
29 phenotype may play a preventive role and also be a novel and useful strategy for the treatment of
30 insulin resistance, and T2D.

31 Novel macrophage-targeted strategies that are both tissue-specific and disease-specific hold
32 promise for future management of the chronic inflammatory disorders that were covered in this
33 review.

34

4. Macrophages in atherosclerosis: killers or builders?

Atherosclerosis is a chronic inflammatory disease driven by an imbalance in lipid metabolism and a maladaptive immune response [197]. This disease is characterized by the accumulation of lipids in large and medium sized arteries forming plaque deposits that block the flow of blood. Several factors have been correlated with the development of atherosclerotic diseases, among which the elevated low-density lipoprotein (LDL) cholesterol, hypertension, obesity and both T2D and T1D. The accumulation of LDL promotes the recruitment of monocytes that lead to the formation of the atherosclerotic plaques [198]. Further, the exposure to CSF-1 and the uptake of oxidized LDL (ox-LDL) induce monocytes differentiation into macrophage and results in foam cell formation with the proliferation of smooth muscle cells [199]. The scavenger receptors lead the ox-LDL recognition and the intracellular cholesterol is metabolized and transported to exogenous acceptors, such as high-density lipoprotein, through efflux proteins, such as ATP-binding cassette transporters [200] (Figure 4).

Macrophage apoptosis has been observed in patients with defects in the Acyl-CoA:cholesterol acyltransferase (ACAT), the enzyme that re-esterificates free cholesterol in cholesteryl fatty acid esters [198]. Seimon et al. showed that oxidized phospholipids, oxidized LDL, saturated fatty acids (SFAs), and lipoprotein(a) can induce apoptosis in ER-stressed macrophages through a CD36 and TLR2-dependent mechanism [201] (Figure 4).

Several *in vivo* studies have demonstrated macrophage heterogeneity within the atherosclerotic plaque in response to the exposition of lipids and their oxidized derivatives [202]. Indeed, within atherosclerotic microenvironment, macrophages adapt their phenotype activating specific transcriptional programs. Cholesterol crystals that accumulate during the early stages of the atherosclerotic process might be involved in the activation of macrophages [202]. Cholesterol crystals can promote the caspase-1-activating NLRP3 inflammasome, which results in the cleavage and secretion of IL-1 and may act as a M1-polarizing stimulus [203]. The pro-inflammatory M1-like phenotype can also be promoted by a mechanism that involves inhibition of the transcription factor Kruppel-like factor 2 [204, 205] or the activation of the TLR4-mediated pathway, that in turn lead to the activation of NF- κ B [206]. Conversely, the anti-inflammatory M2-like phenotype is induced by 9-oxononoyl-cholesterol, a major cholesteryl ester oxidation product that can enhance TGF- β secretion [207]. Moreover, sphingolipid metabolites, such sphingosine-1-phosphate (S1P), promote the switching phenotype of mouse macrophages from M1 to M2-like state, by activating S1P1 receptor [208].

Recently, a third macrophage phenotype has been described in the atherosclerosis context, that has been termed Mox (Figure 4) and represents macrophages exposed to oxidized

1 phospholipids [209-211]. In advanced atherosclerotic lesions of mice, Mox macrophages comprise
2 approximately 30% of the total number of macrophages [212]. Mox phenotype can be triggered by
3 the activation of transcription factor NFE2L2 [212, 213]. Mox macrophages display reduced
4 phagocytic and chemotactic abilities compared with M1- and M2-like macrophages. In mice, Mox
5 macrophages typically express NFE2L2-mediated redox regulatory genes, including *Hmox1*, *Srxn1*,
6 *Txnrd1*, and *Gsr* [212]. Nevertheless, in response to oxidized phospholipids, Mox macrophages
7 activate TLR2-dependent mechanisms that lead to an increase of IL-1 β and COX-2 expression
8 [214].

9 Circulating monocytes in murine models have been classified in two major subsets,
10 described as Ly6C^{hi} and Ly6C^{low} monocytes. In apolipoprotein E-deficient (ApoE^{-/-}) mice the
11 increase of Ly6C^{hi} subset (corresponding to human M1-like subset) has been observed within
12 atherosclerotic plaques [215].

13 Several studies have also correlated macrophage polarization with the clinical course of
14 atherosclerosis. Among all, de Gaetano et al. [216] observed a marked difference in macrophages
15 subset between symptomatic and asymptomatic plaques. Indeed, M1 macrophages were found to be
16 abundant in the developed lipid core of the symptomatic plaque and were rarely found in the intimal
17 regions of the plaque, while, M2-like macrophages number was higher in asymptomatic
18 atherosclerotic plaques, suggesting a potential protective role of M2-like macrophages. Moreover,
19 in mouse models has been demonstrated that in the regressing plaque a decrease in the number of
20 macrophages occurs, and in some, a switch of their phenotypic characteristics has been observed,
21 with an enrichment in M2-like phenotype, suggesting that this is a common signature of regressing
22 plaques [217].

23 Despite several current standard therapies for atherosclerosis may influence general immune
24 responses, including angiotensin converting enzyme (ACE) inhibitors, β -blockers, aspirin,
25 corticosteroids, these drugs lack specific macrophage targeting, and may only be recognized as mild
26 modifiers of macrophage activity [218]. Several common pharmacological agents have already
27 been proposed to modulate macrophage activity for the prevention as well as the treatment of
28 inflammatory-related diseases, including atherosclerosis. PPAR- γ is a crucial factor involved in the
29 regulation of macrophage lipid metabolism and inflammatory responses and, as already discussed
30 above, is up-regulated in M2-like macrophages [25]. PPAR- γ activators might have therapeutic
31 potential and studies conduct by Bai et al [219] suggest that mediator 1 (MED1) is required for the
32 PPAR- γ -induced M2 phenotype switch and showed that MED1 in macrophages has an anti-
33 atherosclerotic activity via PPAR- γ -regulated transactivation, suggesting MED1 as a promising
34 target for atherosclerosis therapy.

1 Natural ligands such prostaglandins and some pharmacological agents including anti-TZD
2 that have been demonstrated to activate PPAR- γ have also been shown to decrease atherosclerosis
3 progression. Choi et al. demonstrated that 5-(4-Hydroxy-2,3,5-trimethylbenzylidene) thiazolidine-
4 2,4-dione (HMB-TZD) reduced leukotriene B4 (LTB4) production and cytokine production by
5 RAW264.7 macrophages and attenuates atherosclerosis possibly by reducing monocyte recruitment
6 to the lesion [220]. In in vivo studies, selective inactivation of macrophage PPAR- γ impairs M2-
7 like activation exacerbating diet-induced obesity [154], suggesting that PPAR- γ inducer might have
8 therapeutic potential. Likewise, Liver X receptors (LXRs) have been found to be up-regulated in
9 M2-like macrophages and exert athero-protective effects by modulating cholesterol metabolism and
10 M1 macrophage-induced inflammatory genes, including iNOS, COX-2 and IL-6 [221], (Figure 4).
11 Tangirala et al. have observed that in experimental models of atherosclerosis, LXR agonists induced
12 a reduction of pre-existing plaque size and this was associated with LXR macrophage activity.
13 Indeed, macrophage-specific loss of LXRs resulted in statistically significant increase in lesion size
14 [222]. Moreover, the immunomodulatory drug fingolimod (FTY720) that has been described as a
15 S1P1 receptor modulator, has been shown to increase the proportion of M2-like macrophages in
16 atherosclerotic lesions and reduce lesion progression in mice [223]. Statins, effective cholesterol-
17 lowering agents, have also been reported to dampen immune responses through inhibition of
18 macrophage inflammatory activity by increasing efferocytosis *in vitro* in a 3-hydroxyl-3-
19 methylglutaryl coenzyme A (HMG-CoA) reductase-dependent manner, decreasing membrane
20 localization of RhoA and preventing impaired efferocytosis by lysophosphatidic acid, a potent
21 inducer of RhoA [224].

22 Stimulation of the macrophage autophagy-lysosomal system by the natural sugar trehalose has
23 been reported reduce the formation of the atherosclerotic plaque by limiting macrophage apoptosis
24 and necrosis in the plaque cores [225].

25 Finally, some *Lactobacillus* have been observed to regulate M1/M2-like macrophage ratio by
26 suppressing ox-LDL phagocytosis, thus blocking foam cell formation [226]. These data supported
27 the employment of prebiotic or probiotic in atherosclerosis.

28

29 **5. Macrophages in periodontitis: killers or builders?**

30 Gingivitis and periodontitis are two common diseases affecting the oral tissues and the
31 health of the supporting structures of a tooth that share inflammation as a common feature. While in
32 gingivitis the inflammatory process is limited to the soft tissues, epithelium, and connective tissue,
33 in periodontitis the inflammation is extended to the supporting tissues, including the alveolar bone
34 [227].

1 Chronic periodontitis (CPD) occurs in response to specific bacteria within the oral biofilm
2 and involves the destruction of tooth-supporting tissues. Major features for CPD are accumulation
3 of immune cells in gingival connective tissue, resorption of alveolar bone, and the degradation of
4 periodontal connective tissues, which lead to increased tooth mobility and eventual tooth loss [228,
5 229].

6 Chronic periodontitis is strongly associated with the presence of Gram-negative anaerobic
7 bacteria in subgingival plaque, in particular *Porphyromonas gingivalis*, *Tannerella forsythus*, and
8 *Treponema denticola*. Although initiated by bacteria, the bone pathology in CPD is mediated almost
9 entirely by the host response that is thought to be responsible for the local tissue destruction
10 observed in periodontitis [230]. In addition, the response to oral pathogens has systemic
11 consequences. For example, infection and chronic inflammatory conditions, such as periodontitis,
12 may influence the atherogenic process [231, 232].

13 It has been reported that monocyte/macrophages act as relevant killers in periodontal
14 diseases by contributing to tissue breakdown. Elevated numbers of macrophages/monocytes
15 associated with greater collagen breakdown and higher level of MMPs have been observed in
16 samples from periodontitis [233]. Studies have shown that IL-1 was expressed predominantly by
17 macrophages in tissue isolated from periodontal patients [234]. In addition, higher levels of
18 RANKL protein, associated with macrophages, have been observed in the periodontitis tissues
19 [235].

20 Activated macrophages have been found in the gingival epithelium, *lamina propria*,
21 perivascular tissues and in the blood vessels in human CPD. As lesions associated with chronic
22 periodontitis progress, increasing numbers of macrophages infiltrate into the gingival tissues [236].
23 Therefore, the gingival tissue and crevicular fluid of patients with chronic periodontitis have been
24 reported to contain significantly increased amounts of CCL3, also known as macrophage
25 inflammatory protein (MIP)-1 α and CXCL-8/IL-8, as compared to healthy subjects [237, 238].

26 *Porphyromonas gingivalis* (*Pg*) is a key periodontal pathogen that promotes dysbiosis
27 between host and plaque associated bacteria, thus resulting in both periodontal disease onset and
28 progression [239, 240]. LPS from *Pg* activates macrophages through both TLR2 and TLR4 [241],
29 and specifically, TLR2 activation by *Pg* LPS triggers the downstream stimulation of NF- κ B,
30 leading to the production of pro-inflammatory cytokines [242-244] (Figure 5).

31 Macrophages are frequently used as the *in vitro* model cells to define immune cell function
32 in CPD studies. Transfer of TLR2 expressing macrophages to TLR2-deficient mice restored host
33 sensitivity to *Pg* oral challenge [245] (Figure 5).

1 *Pg* LPS, in the presence of IL-1 and TNF- α have been shown to induce cultured human
2 fibroblasts and epithelial cells to release PGE₂, a factor associated with periodontal bone resorption
3 that promotes the pro-inflammatory M1-like macrophage polarization [229, 246-250] (Figure 5).
4 IL-1 and TNF- α not only enhance inflammation, they also promote bone resorption, a major
5 concern in periodontitis [251-253]. Oral infection with *Pg* in BALB/c and C57BL/6 mice resulted
6 in the influx of M1 macrophages into the submandibular lymph node (SMLN) and gingival tissue,
7 together with an increase in alveolar bone resorption, as compared with untreated mice in a murine
8 model of periodontitis [254, 255]. Selective SMLN macrophage *in vivo* depletion, using liposomes
9 containing the pro-apoptotic agent clodronate, resulted in decreased *Pg*-induced alveolar bone *in*
10 *vivo* resorption.

11 *Pg* infection enhances the secretion of the cytokines IL-1 β , IL-6, IL-12, TNF- α , CSF-3 (G-CSF),
12 and CSF-2 (GM-CSF), in addition to the chemokines eotaxin, CCL2-4 from macrophages,
13 reflecting a M1 pro-inflammatory response (Figure 5). These cytokines and chemokines are known
14 to act as pro-inflammatory mediators, to induce monocytes to migrate from the bloodstream into
15 gingival tissue, and to act synergistically to further stimulate pro-inflammatory cytokine production
16 [246, 248, 249, 256]. IL-10, which is mainly produced by macrophages, was detected among the
17 wide array of cytokines released during *Pg* infection [257]. IL-10 strongly support M2-like
18 macrophage and polarized functions including, increased production of arginase-1, higher collagen
19 deposition and induction of fibrosis in gingival tissue, all common clinical features of chronic
20 periodontitis [258-260].

21 In a recent study, Lam et al. observed that *Pg* can persist in naïve and M2-like, but not M1-
22 like macrophages for 24 hours. Phagocytosis of *Pg* also induced high levels of TNF- α , IL-12 and
23 iNOS in M1 macrophages, but not in naïve macrophages (M \emptyset) or M2 macrophages [254].

24 *T. forsythia* expresses a well-characterized TLR2 ligand, the BspA protein, and N- and O-
25 glycan linked glycoproteins that comprise its surface (S)-layer, covering the outer membrane [261].
26 This S-layer has been shown to be important in delaying the cytokine responses of monocyte and
27 macrophage cells *in vitro* [262, 263]. BspA and other ligands of *T. forsythia* induce TLR2 signaling
28 favoring the development of Th2-type inflammatory responses detrimental to the alveolar bone, that
29 has been shown to be limited in TLR2^{-/-} mice [242].

30 *T. forsythia* whole cells induced significantly greater amounts of IL-6 and IL-10 in wild type
31 (BALB/c) BMDCs and macrophages, markers related to an M2-like polarization, as compared with
32 TLR2^{-/-} cells. The macrophage-inducible C-type lectine receptor (Mincle), a Fc γ R-coupled
33 pathogen recognition receptor (PRR) [263, 264] has been reported to contribute to macrophage
34 polarization [265]. THP-1 macrophages infected with purified S-layer on whole wild-type *T.*

1 *forsythia* elicit a M2-like polarization (IL-10, TNF- α) that is limited in Mincle knock-down
2 macrophages or where infection is performed with the S-layer TfAtfsAB mutated form [265]
3 (Figure 5).

4 *Treponema denticola* is among the most frequently isolated oral spirochetal species in
5 patients with periodontitis [266, 267]. Major surface protein complex (MSPc), which is expressed
6 on the envelope of this treponema, plays a key role in the interaction between *T. denticola* and
7 gingival cells and the related cytopathic effects [268]. *Treponema denticola* within the
8 periodontium of the host has been reported to be associated with localized inflammation. MSPc has
9 been shown to stimulate the release of the pro-inflammatory cytokines NO, TNF- α and IL-1 β
10 from murine macrophages, both in LPS-responsive and -nonresponsive murine macrophages [269].
11 Furthermore, IL-1 β , IL-6, and TNF- α secretion by *T. denticola*-activated macrophages has been
12 shown to exhibit potent bone reabsorption effects due to their pro-osteoclastic properties [270].

13 *T. denticola*-mediated macrophage response is mainly mediated by TLR2 and via MAP
14 kinases [271]. One of the most highly conserved signaling cascades activated in both the innate and
15 adaptive immune systems involves a family of MAPKs including ERK1/2, p38, and JNK1/2 [272].

16 *T. denticola* stimulates the prolonged activation of both ERK1/2 and p38 in monocytes and
17 pharmacological inhibition of these pathways play major roles in regulating both pro- and anti-
18 inflammatory cytokine production by *T. denticola*-stimulated monocytes [271] (Figure 5).

19 A study from Miyajima et al. reported a correlation between periodontitis-activated
20 monocytes/macrophages and aortic inflammation in an in vivo ligature-induced experimental model
21 of periodontitis. Gene expression profiling in circulating monocytes in this experimental model
22 showed that periodontitis induced a M1-like specific signature with high levels of TNF- α and IL-6
23 as compared to controls, indicating that a M1-like phenotype of macrophages is induced by
24 periodontitis [273]. This in turn supports the hypothesis that periodontitis-induced M1-like
25 macrophages are the inflammatory orchestrator driving specific pro-inflammatory messages to the
26 systemic vasculature [273]. The work from Miyajima et al. also showed that periodontitis-induced
27 M1 macrophages can increase macrophage adhesion to aortic endothelial cells through the NF-
28 κ B/VCAM-1 axis [273]. These results clearly suggest that local-tissue alterations of macrophages
29 during periodontitis can impact on circulating monocyte polarization and are associated to vascular
30 alteration involved in apparently distant pathologies that shares inflammatory-cell polarization as
31 common features.

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6. Conclusion

It is now widely accepted that inflammation represents a host hallmark of diverse chronic diseases, ranging from cancer, diabetes, metabolic, cardiovascular and neurological/neurodegenerative disorders. In the same way, inflammation has been recognized as a relevant condition for insurgence, maintenance and progression of such disorders. Cell plasticity is a key and shared feature of inflammatory cells within the host organism, that can potentially acquire killer (M1-like) or builder (M2-like) properties, based on the surrounding environment. Macrophages are the clearest example of immune cells that can be switched from killers to builders and vice-versa and this has been observed in all the inflammatory based/associated disorders and represent the major immune cells present in such disorders. In this review, we discussed the cellular and molecular mechanisms involved in macrophage switching to killers or builders in differently and apparently distant disorders pointing out the attention on how the macrophages/microenvironment reciprocal interaction shape their polarization and distinct functional states.

Therefore, since macrophage polarization represents a crucial inflammatory hallmark shared in the discussed chronic diseases, we discussed some approaches aimed at resolving this process, by interfering with aberrant macrophage killer/builder reciprocal switch. By this discussion is clear that the identification of novel preventive and intervention strategies, along with effective compounds able in targeting/limiting/reverting pro-inflammatory macrophage polarization, are urgently needed and may represent a relevant tool to shape macrophage function action directly on them or on the hosting/surrounding environment.

Competing Interests

The authors declare that they have no competing interests.

Acknowledgments

AB was a FIRC (Fondazione Italiana per la Ricerca sul Cancro) fellow and a fellow for Fondazione Umberto Veronesi (FUV).

1 **Abbreviations**
2
3 ACAT: Acyl-CoA: cholesterol acyltransferase
4 ADM: Adrenomedullin
5 AKT: Protein kinase B
6 AMPK: adenosine monophosphate kinase
7 ATMs: adipose tissue macrophages
8 Bcl2: B-cell lymphoma 2
9 bFGF: Basic fibroblast growth factor
10 BspA: Bark storage protein A
11 c-Maf: Avian musculoaponeurotic fibrosarcoma oncogene homolog
12 c-Myc: Avian myelocytomatosis viral oncogene homolog
13 C/EBP: CCAAT-enhancer-binding proteins
14 CCR: Chemokine receptor
15 CD: Cluster of differentiation
16 COX: Cyclooxygenase
17 CPD: Chronic periodontitis
18 CSF-1: Colony stimulating factor 1
19 CSF-1R: Colony stimulating factor receptor 1
20 CXCL: C-X-C chemokine ligand
21 CXCR: C-X-C chemokine receptor
22 DC-SIGN: Dendritic cell-specific ICAM-grabbing non-integrin
23 DC: Dendritic cells
24 DNAm: DNA methylation
25 DNMT: DNA methyltransferase
26 ECM: Extracellular matrix
27 ER: Endoplasmic reticulum
28 ERK: extracellular signal-regulated kinase
29 G-CSF: Granulocyte-colony stimulating factor
30 GM-CSF: Granulocyte-macrophage colony-stimulating factor
31 GSR: Glutathione-disulfide reductase
32 HDAC: Histone deacetylase
33 HIF: Hypoxia-inducible factor
34 HMB-TZD: 5-(4-Hydroxy-2,3,5-trimethylbenzylidene) thiazolidine-2,4-dione
35 HMG-CoA: 3-hydroxyl-3-methylglutaryl coenzyme A
36 Hmox1: heme oxygenase 1
37 IFN: Interferon
38 IKK: I κ B kinase
39 IKK β : Inhibitor of I κ B kinase
40 IL: Interleukin
41 iNOS: Inducible nitric oxide synthase
42 IRAK: Interleukin receptor-associated kinase
43 IRF: Interferon regulatory factor
44 JNK: Jun N-terminal kinase
45 KLF4: Kruppel-like factor 4
46 LDL: low-density lipoprotein
47 let-7: Lethal-7
48 LPS: Lipopolysaccharide
49 LTB4: Leukotriene B4
50 LXRs: Liver X receptors
51 M-CSF: Macrophage colony-stimulating factor

1 M: Macrophage
2 MAPK: mitogen-activated protein kinase
3 MCP: Monocyte chemoattractant protein
4 MCP1: Monocyte chemoattractant protein-1
5 MDSCs: Myeloid-derived suppressor cells
6 MED1: mediator1
7 Mgl1: Macrophage and granulocyte inducer-form 1
8 MIF: Macrophage migration inhibitory factor
9 Mincle: Macrophage-inducible C-type lectine receptor
10 MIP: Macrophage inflammatory protein
11 miRNA/miR: Micro-RNA
12 MMPs: Metalloproteases
13 MØ: Naïve macrophages
14 Mox: Macrophages exposed to oxidized phospholipids
15 MPS: Mononuclear phagocyte system
16 MSPc: Major surface protein complex
17 NF-κB: Nuclear factor kappa-light-chain-enhancer of activated B cells
18 NFE2L2: Nuclear factor (erythroid-derived 2)-like 2
19 NLRP3: NLR family pyrin domain containing 3
20 NO: Nitric oxide
21 NRP1: Neuropilin-1
22 ox-LDL: Oxidized LDL
23 PD: Periodontitis
24 *Pg*: *Porphyromonas gingivalis*
25 PGC-1α: Peroxisome proliferator-activated receptor gamma coactivator 1-alpha
26 PGE2: Prostaglandin E2
27 Pknox1: PBX/knotted 1 homeobox 1
28 PPAR: peroxisome proliferator-activated receptor
29 PRR: Pathogen recognition receptor
30 RANTES: Regulated on activation, normal T cell expressed and secreted
31 S1P: Sphingosine-1-phosphate
32 SDF-1: Stromal cell-derived factor-1
33 Sema4D: Semaphorin 4D
34 SFAs: Saturated fatty acids
35 SIRPa: Signal-regulatory protein
36 SMAD: small mother against decapentaplegic
37 SMLN: Submandibular lymph node
38 SOCS1: Suppressor of cytokine signaling 1
39 Srxn1: Sulfiredoxin-1
40 STAT: Signal transducers and activators of transcription
41 T2D: type 2 diabetes
42 TAM: Tumor-associated macrophage
43 TEMs: Tie2-expressing monocytes
44 TGF: Transforming growth factor
45 Th: T helper
46 TLR: Toll-like receptor
47 TNF: Tumour necrosis factor
48 TP: Thymidine phosphorylase
49 TRAF: TNF receptor associated factor
50 Txnrd1: Thioredoxin reductase 1
51 TZD: Thiazolidinediones

1 uPA: Urokinase-type plasminogen activator
2 VCAM: Vascular cell adhesion molecule
3 VEGF: Vascular endothelial growth factor
4 YM1: Chitinase 3-like 3
5
6

7 **Figure legends**

8

9 **Figure 1.** Past and new concept in macrophage-polarization. (1A) Schematic overview of the
10 different stimuli that can induce the diverse macrophage polarization state. M1: classically activated
11 phenotype; M2: alternatively, activated macrophages; ATM: adipose tissue derived macrophages;
12 Mox: atherosclerosis associated macrophages; TAMs: tumor-associated macrophages. (1B) The
13 polarization landscape of macrophages. According to the different stimulation conditions,
14 macrophages can acquire peculiar M1 or M2 phenotype, governed by the different surface antigen
15 expression, including scavenger receptors, chemokine, matrix associated protein and cytokine
16 release and different pattern of transcription factors and metabolic pathway activated. The drivers
17 stimuli include IL-4, IL-10, glucocorticoids (GC) with TGF β , glucocorticoids alone, LPS, LPS and
18 IFN- γ and IFN- γ alone.
19
20

21 **Figure 2: Macrophage polarization in tumor progression.** Macrophage recruitment in tumors
22 and their polarization are regulated by several factors. Among all, hypoxia can induce the
23 differentiation of monocytic myeloid-derived suppressor cells (M-MDSCs) via upregulation of
24 CD45 tyrosine phosphatase activity (1). Further, soluble factors, such CCL2 and CCL5 that are
25 produced by the cancer cells and stroma cells can increase macrophage infiltrate (2). In the TME,
26 infiltrating/associated to tumors (TAM/M2-like macrophages) can orchestrate tumor progression by
27 several mechanisms including the release of cytokine, chemokines and tissue remodelling proteins.
28 Hypoxia increases the expression of CXCRs in TAMs and promote tumor angiogenesis by
29 enhancing the production of VEGF, TNF- α , bFGF, IL-8, TP and Sema4D that can induce
30 endothelial cell proliferation, sprouting and migration, tube formation and maturation of new vessel,
31 followed by its stabilization by attaching mural cells (A). TAMs can regulate the extracellular
32 matrix degradation by producing different types of enzymes and proteases, such as matrix
33 metalloproteinases (MMPs), in particular MMP2, MMP9, plasmin, urokinase plasminogen activator
34 (uPA) and cathepsins that acting on connective tissue surrounding the tumor, allow tumor cells to
35 detach from the mass of origin and to disseminate, leading to the formation of distant metastases
36 (B).
37

38 **Figure 3: Macrophage polarization in Type-2-diabetes.** Macrophage within pancreatic tissues
39 can be switched toward different functionality according to the environment stimuli. M2-like
40 macrophage support B-cell proliferation by several trophic factors like TGF- β 1 which directly
41 induce upregulation of SMAD7 and increases of CyclinD1, CyclinD2 and p27 (A). Moreover, M2-
42 like macrophages release Wnt ligands, thus activating Wnt signaling pathway, and β -catenin,
43 supporting β -cell replication (B). M1-like macrophage in pancreatic tissues can secrete IL-1b,
44 inhibiting insulin secretion, followed by islet destruction (C). Adipose derived macrophages (ATM)
45 can release pro-inflammatory cytokines, including TNF- α , IL-6, IL-1 β that decrease insulin
46 sensitivity through the activation of Jun N-terminal kinase (JNK), inhibitor of IKK β kinase (IKK-
47 β), and other serine kinases in insulin target cells (D).
48
49

50 **Figure 4: Macrophage polarization in atherosclerosis.** Macrophages are crucial players involved
51 in the atherosclerosis development due to their ability to regulate cholesterol efflux. In this context,

1 the up-regulation of LXRs in M2 macrophages has been found to exert a protective role. Indeed,
2 LXRs reduce peripheral tissue excess cholesterol that is returned to the liver by releasing HDL in
3 the plasma (A). Apart from M1 and M2 polarization, a third macrophage state has been described in
4 the atherosclerosis context, that is termed Mox. Macrophage exposed to oxidized phospholipids
5 display reduced phagocytic and chemotactic abilities compared with M1 and M2-like macrophages
6 and are characterized by the expression of the transcription factor NFE2L2 as far as Hmox1, Srxn1,
7 Txnrd1, and Gsr genes. Mox macrophages also activate TLR2- dependent mechanisms in response
8 to oxidized lipids leading to an increase of IL-1 β and COX-2 (B).

9

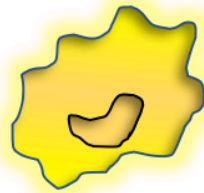
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11 **Figure 5: Macrophage polarization in periodontitis.** Macrophages that have been found in the
12 gingival epithelium can be activated by several microorganisms able to induce macrophage
13 polarization towards M1- or M2-like phenotype. *P. gingivalis* releases LPS, IL-1 and TNF- α that
14 promote the pro inflammatory M1 macrophage polarization (A). Moreover, Pg infection enhances
15 the secretion of IL-1 β , IL-6, IL-12, TNF- α , G-CSF, GM-CSF and the chemokines eotaxin, MCP1,
16 MIP-1 α , and MIP-1 β from macrophages, reflecting a M1-like pro-inflammatory response (B). In
17 spite of this, it has also been reported that *Pg* infection can also be associated with the increase of
18 IL10, supporting M2 macrophage and increasing arginase-1 production and collagen deposition,
19 leading to periodontitis (C). *T. forsythia* releases BspA and other ligands that induce TLR2
20 signaling favouring the development of Th2-type inflammatory responses (D). *T. denticola* induces
21 TLR2 signalling that stimulates the prolonged activation of both ERK1/2 p38 and JNK1/2 in
22 monocytes (E).

23

24

Figure 1A



Macrophages

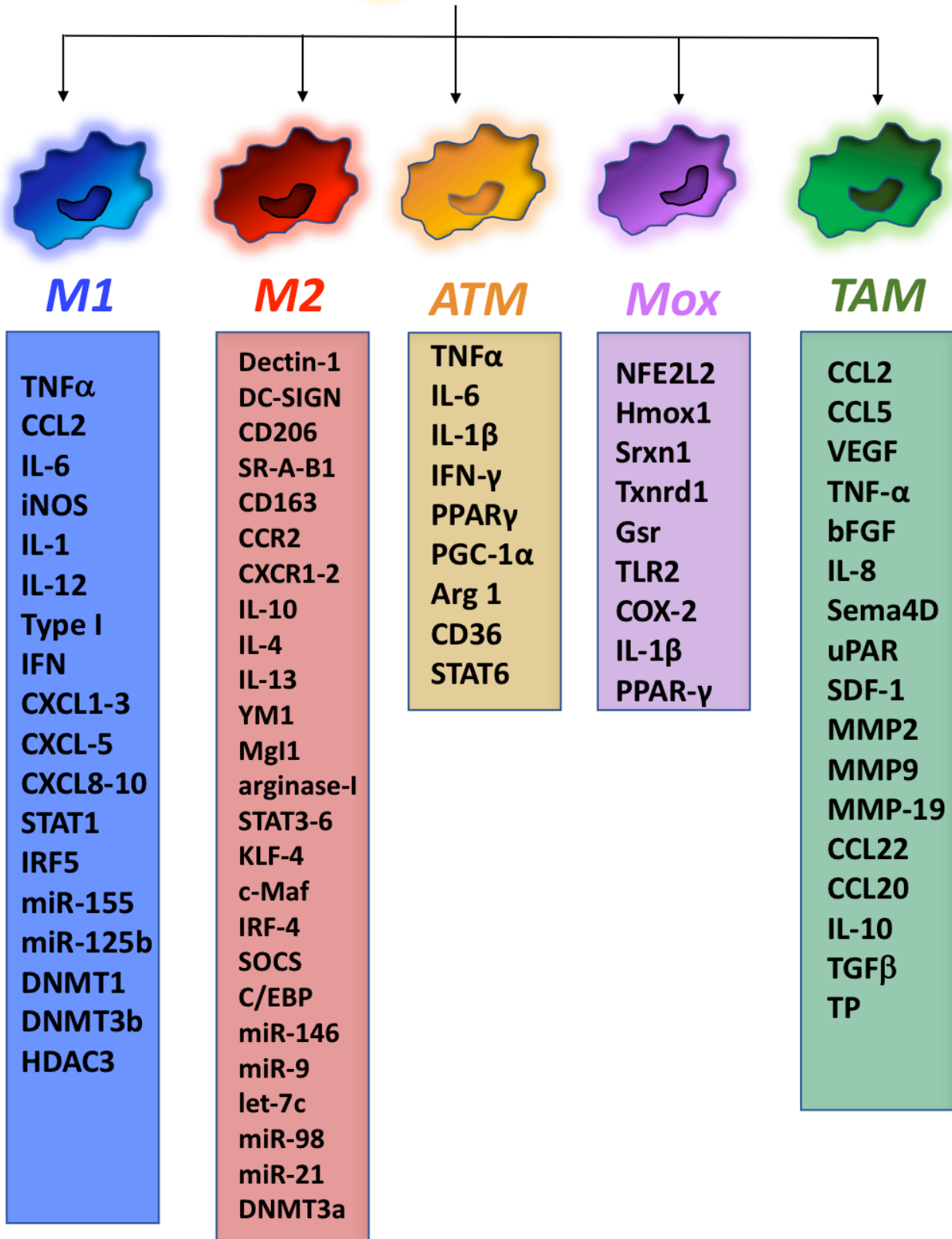
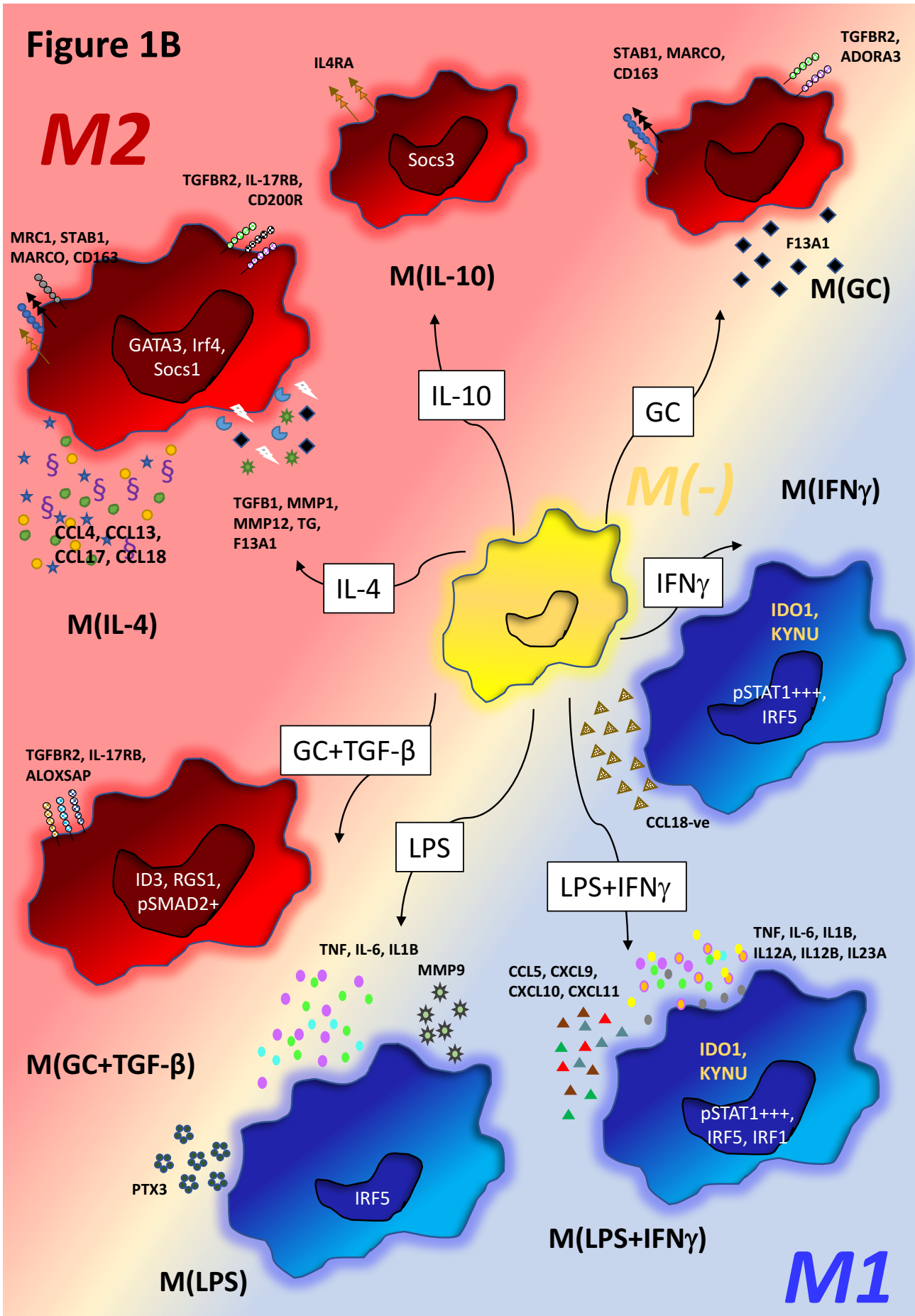


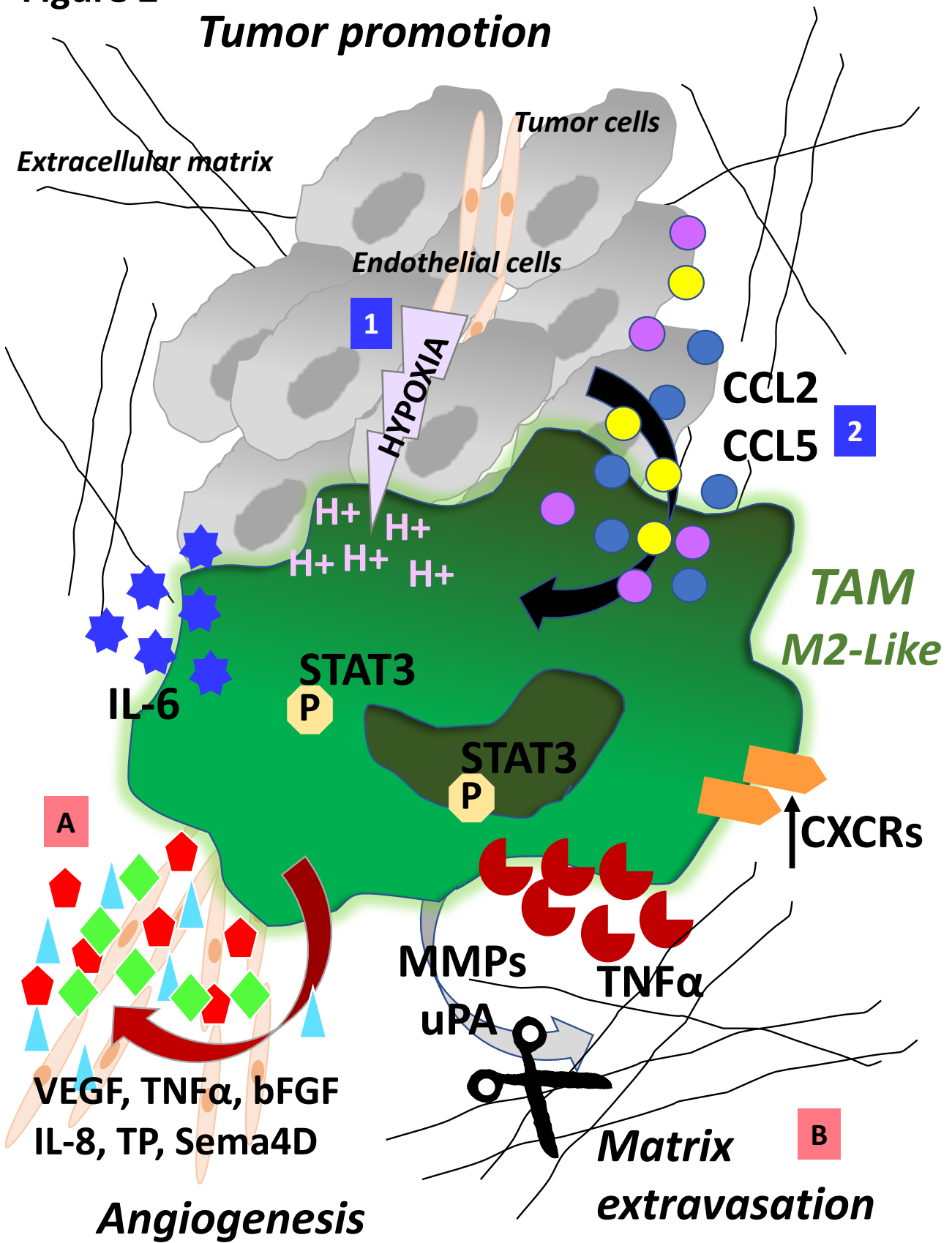
Figure 1B



1
2

Figure 2

Tumor promotion



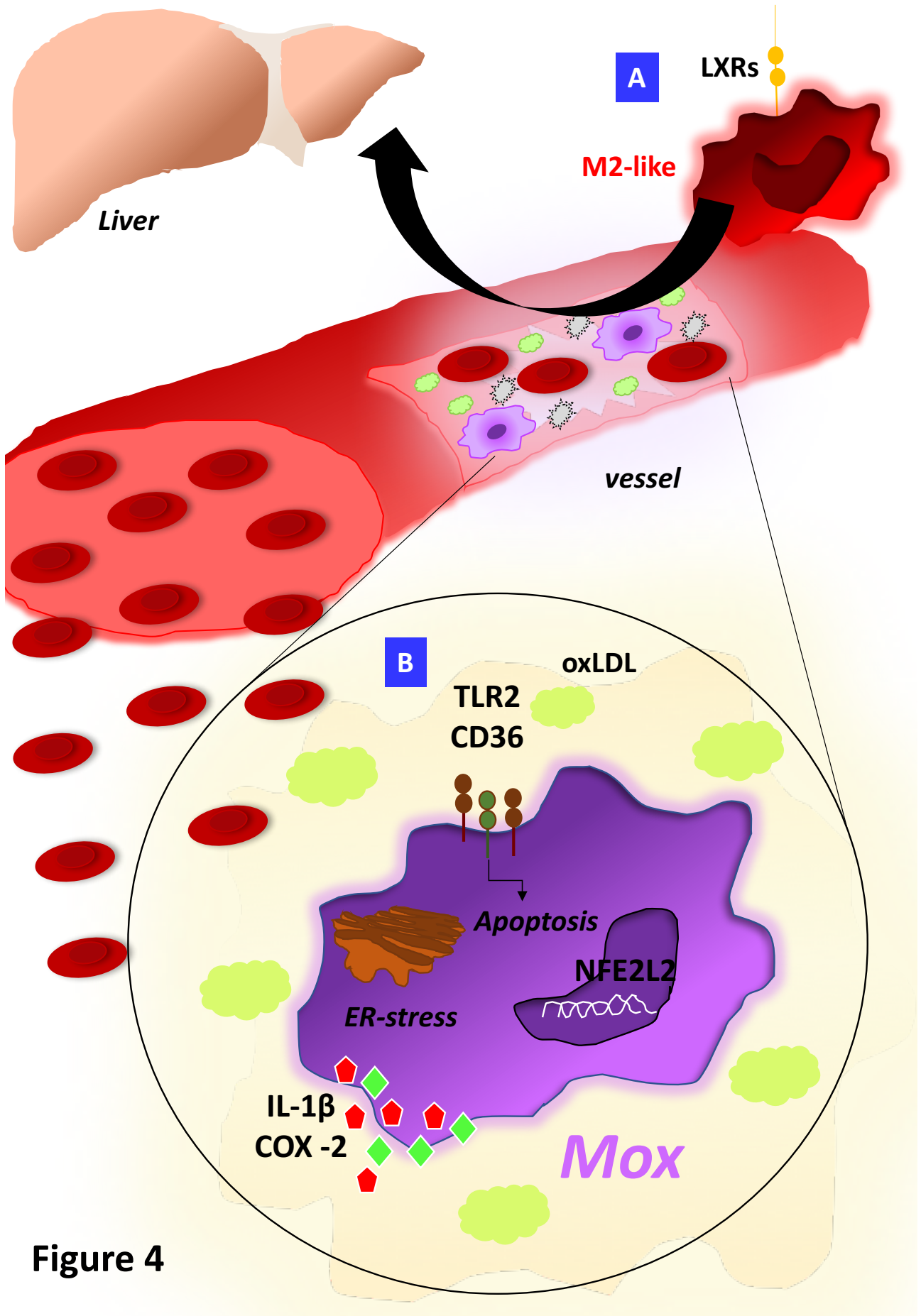


Figure 4

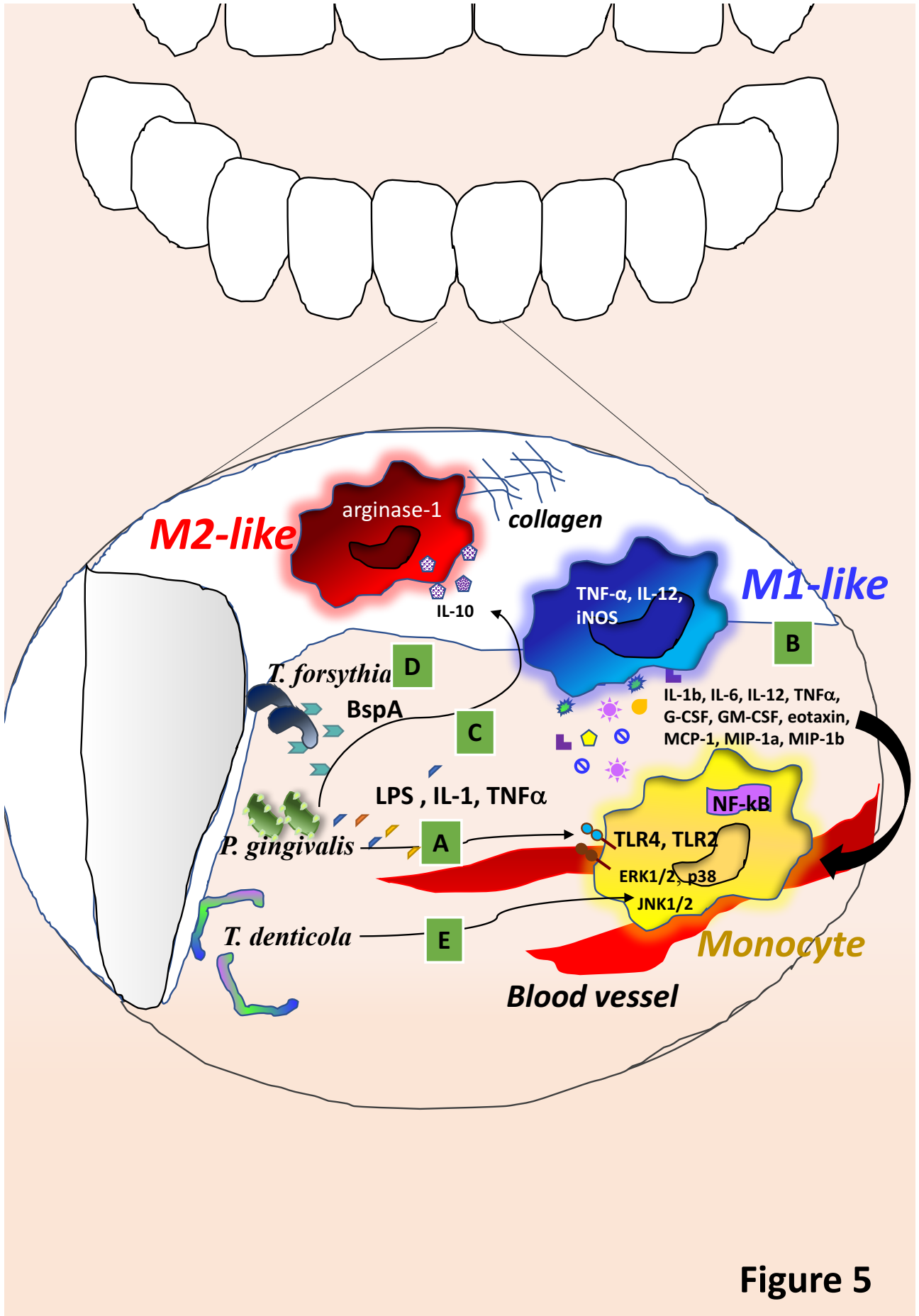


Figure 5

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